

The Baring Foundation

Report on Activities

2011

The Baring Foundation – People

Council of Management

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Professor Myles Wickstead C.B.E. *Chair, Joint International Development Committee*

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Photo courtesy of Skippko Arts Team

Our grant of £18,845 went to Skippko for a participatory arts project in Leeds involving three groups of older people who devised an artwork about tea, visiting galleries and libraries for inspiration and culminating in an exhibition.

Purpose and Values

Our Purpose

is to improve the quality of life of people suffering disadvantage and discrimination. We aim to achieve this through making grants to strengthen voluntary sector organisations which serve them directly or indirectly and by the added value we bring to this.

Our Values

We:

- 1** believe in the fundamental value to society of an independent and effective voluntary sector;
- 2** use our funds to strengthen voluntary sector organisations, responding flexibly, creatively and pragmatically to their needs and with a determination to achieve value for money;
- 3** put high value on learning from organisations and their beneficiaries. We seek to add value to our grants by encouraging the communication of knowledge through a variety of means, including influencing others;
- 4** seek to build positive, purposeful relationships with grant recipients, as well as with other grant makers;
- 5** aim to treat grant-seekers and recipients with courtesy and respect, being as accessible as possible within clear programme guidelines and maintaining consistently high standards of administrative efficiency.



Photo courtesy of Peace Direct

Our grant of £166,276 to Peace Direct is to develop models to reintegrate Internally Displaced People and ex-combatants through community development initiatives in Eastern Democratic Republic of the Congo.

Chair's Statement

As I look back over the last year I fear that the shifting public policy landscape continues in the same vein as that of the last two years. The voluntary sector continues to face challenging times. Cutbacks in public sector funding at a time of increased demand means that many are having to make some tough choices about priorities and are facing merger or radical changes in the way that they are operating. Indeed our trustees heard during one of our regular meetings during the year of a prediction of a significant reduction in the size of the sector overall over the next 3 to 5 years.

At the Foundation we are always conscious of these difficulties. That is why we seek to add value to our grants through the additional research, seminars and development work. This year in particular our Director David Cutler and the Deputy Director Matthew Smerdon have used the convening powers of the Foundation and have been active in advocating and supporting the advice sector (which embodies the current difficulties in the sector) as a direct result of our STVS programme. I know that this is just one example of how the Foundation team give a great deal of time and advice to those who are fortunate to be part of our grant programmes as well as those who may not succeed. This reflects the overall approach of the Foundation to give more than just financial support and it is a view which is reinforced by the active involvement of all of my fellow trustees in all aspects of the Foundations work. I am grateful for the support of not only our excellent staff team but also my fellow trustees who give their time so generously. This year we welcomed David Elliot to the Council and the benefit of his long experience in the arts is already being felt by the Arts Committee who continue to develop our exciting arts and older people programme. Janet Morrison and Katherine Garret-Cox took on the role of Vice Chair – jointly for the first time- where we had a vacancy. I really appreciate their support.

On a more upbeat note despite facing its own challenges of uncertain income projections coupled with growing demand I am delighted that the Foundation is in good heart. We will continue to look for ways that we can work in partnership with others, as we have done with the John Ellerman Foundation on international development. The words “partnership” and “leverage” are frequent ones in our vocabulary as we seek to make our limited funds work harder. We are of course even more aware of the generosity of our partner and supporter ING who provide us with free office and meeting space and so much more here in London. This report gives me an opportunity to thank them on behalf of all of our trustees.

Amanda Jordan
Chair



Photo courtesy of Open Art

Our grant of £28,738 to Open Art Limited is for a programme of artistic participatory events, workshops and short courses aimed at older people in Kirklees.

Director's Report

Most people reading this Report on Activities will be aware of the level of damage and loss affecting the voluntary sector overall, despite important and welcome areas of growth such as Government funding for international development. This state causes a somewhat schizophrenic reaction in me – deep concern at how small the sums we have at our disposal are in comparison to the massive reductions taking place on the one hand, but on the other, a conviction that the importance of foundation funding is actually increasing as a means for the voluntary sector to get to the other side of this crisis in the best shape that it can. The Baring Foundation's long-term commitment to funding organisational development in this climate seems more relevant than ever.

In preparing this Report it also strikes me that this crisis in public funding has forced us to be both more analytical and more adventurous in the ways that we work. Rather than purely making reactive grants, we have increasingly commissioned work where there seems a strategic gap or used other resources, such as our convening power, advocacy based on evidence or mission connected investments.

Our work continues to have two main strands: grant programmes and Special Initiatives. The Arts programme retained its focus on work with older people and gave out a combination of project and strategic funds including for a major new festival throughout Scotland. The International Development Programme, run jointly with the John Ellerman Foundation, gave grants for work in Africa to improve the response of civil society there to the needs of long-term forced displaced people. The Strengthening the Voluntary Sector Programme undertook work to support the embattled social welfare advice sector, as well as launching an authoritative new Panel on the Independence of the Voluntary Sector.

We concluded a Special Initiative on intercultural dialogue by asking brap (Birmingham Race Action Partnership) to look at the lessons to be derived from the work of the winners of the Awards for Bridging Cultures, which we have funded for three years. Our partnership with Comic Relief supported advocacy on the role of the African Diaspora on development. Finally, the Special Initiative on Climate Change and the Voluntary Sector very successfully targeted legislation on energy efficiency.

Since 2000, we have followed a total return strategy both for the management of our investment funds and for arriving at the amount available to fund grants and operating costs. This amount includes both income arising from our portfolio and an element of long-term capital growth. This has been based on a percentage (4.5%) of the total averaged over preceding quarters, starting at the beginning of 2009. The assumptions underpinning this approach are coming under increasing pressure and will be reviewed by trustees next year.

Our activity over such a broad agenda is only possible due to the high quality of our board and of my talented and experienced colleagues at the Foundation, both staff and advisers. This year saw the departure of Valerie Cadoret for new opportunities and I would particularly like to thank her and wish her well.

David Cutler
Director

Baring Foundation Grants – Key Facts		
	Number	Value (£)
Arts Programme – project grants	17	448,135
Arts programme – strategic development grants	7	139,500
Joint International Development Programme – core grants	5	662,804
Joint International Development Programme – other grants	3	3,397
Strengthening the Voluntary Sector Independence programme	7	278,757
Strengthening the Voluntary Sector Independence programme – Restructuring Fund	10	248,841
Strengthening the Voluntary Sector Independence programme – Independence Panel honoraria	5	2,500
<i>Special Initiatives</i>		
African Diaspora – African Development	1	50,000
Interculturality	1	74,645
Other grants	4	88,775
Trustees Fund	3	16,500
Total	64	2,013,854

Arts Programme

This is the second of at least five years in which the Foundation will dedicate its funding to the theme of arts and older people. We tend to be particularly interested in participative work with people aged over 60, experiencing discrimination and disadvantage.

The programme has been shaped by our mapping report *Ageing Artfully* published in 2009. This year the Foundation divided its method of giving into two strands: to directly fund creative work by arts organisations through project grants; and seeking to influence the broader environment for this work through more strategic grants which will in some cases leverage other funding. The balance between these two types of funding is likely to change from year to year.

The Foundation received 96 applications for project grants from arts organisations based in the UK with a track record of working with older people. We wished to fund projects with an exemplary approach to the involvement of older people in the creative process. 17 grants were made and our Adviser, Kate Organ, was commissioned to write a report on what can be learnt from these, to be published towards the end of 2012.

Using strategic funding, the Foundation was delighted to enter into a major partnership with Creative Scotland to initiate a new nation-wide month long festival of creativity for older people based on the Bealtaine Festival in the Republic of Ireland. A Festival Director has been appointed and the festival will begin in October 2012. The Foundation ran a competition to create and run for three years a website dedicated to arts and older people. The competition was won by Age UK Oxfordshire and the website will be launched in the second half of 2012. The Foundation also maintained its partnership with the Winston Churchill Memorial Trust which is funding four annual rounds of overseas travelling fellowships on arts and older people.

A series of publications were also worked on. After a competition, the Mental Health Foundation was funded to produce the first synthetic review of this field which is entitled *An Evidence Review of the Impact of Participatory Arts on Older People*. The report concluded ‘... the studies in this review suggest that it is evident that engaging in participatory art can improve the well-being of older people and mediate against the negative effects of becoming older.’ We also published in partnership with the National Care Forum and the National Association of Providers of Activities for Older People a report on good practice, *Creative Homes – How the Arts can contribute to quality of life in residential care*. In addition François Matarasso has been commissioned to write a series of case studies of older artists and the London Arts in Health Forum will look at health funding for arts work with older people.

The Foundation also funded and helped organise the first national conference on arts and older people. We did this in partnership with the Valuing Older People Unit of Manchester Council and All About Audiences. It was held in the magnificent setting of Manchester Town Hall on 19th October and attracted over 200 people, mainly arts practitioners.

As well as working on arts and older people, we have, with the generous support of the Arts Council England, funded a legacy project for our former theme of arts and refugees. A consortium of Refugee Week and Oval House has set up a dedicated website called Platforma. Also the first of two biennial national festivals was held in London in December.

Arts Programme Grants, Arts and Older People – Key Facts

Open to application	2011 Project grants	2010 Core grants
Number of applications	98	132
Number of eligible applications	96	129
Number of grants made	17	10
Success rate of eligible applications	18%	8%
Total value of grants	£448,135	£634,965
Largest grant	£30,000	£75,000
Smallest grant	£6,225	£45,000
Average grant	£26,360	£63,497

In addition to the arts project grants there were seven strategic development grants totalling £139,500.

Arts Committee

Janet Morrison *Chair*
 Mark Baring
 David Elliott (*Joined 26th September 2011*)
 Katherine Garrett-Cox
 Ranjit Sondhi

Staff Lead
 David Cutler *Director*

Adviser
 Kate Organ

Our grant of £27,800 to Plantation Productions supports media production projects in Glasgow. They use writing, character development, drama, multimedia, reminiscence, animation and the arts as a way of creatively engaging seniors with artists, film professionals and the wider community.



Photo courtesy of Plantation Productions

Joint International Development Programme

The purpose of the programme is to improve the effectiveness of Non-Governmental Organisations (NGOs) and Community Based Organisations (CBOs) in Sub-Saharan Africa to address the problems arising from the long-term forced displacement of people. Grants are offered for up to five years to UK registered NGOs working with voluntary sector partners in the affected countries. Hence the relationship between UK based organisations and African partners is critical to the success of a grant.

The programme is run in collaboration with the John Ellerman Foundation. All decisions are taken together and a Memorandum of Understanding governs our joint venture. £1 million in funding was again available (£666k from the Baring Foundation with the balance from the John Ellerman Foundation). The programme has run in a very similar way since 2001 and in collaboration with the John Ellerman Foundation since 2004. A note on a decade of this funding has been published on our website which describes the programme in more detail and the very diverse portfolio of projects which has benefited. Our Adviser, Dr Tina Wallace, has been commissioned to produce a more in depth account of our style of funding for publication in 2012.

38 applications were received this year and five grants made. Up to £2,000 was given to each unsuccessful shortlisted applicant, if they brought over a partner for a second stage interview. We chose to give grants to fund work in five countries using different interventions in a variety of settings.

In October seven members of the Ebenezer Ministries International were murdered in the Democratic Republic of the Congo. Their work was part-funded by us through our grant to Children in Crisis. This heart-breaking news has been a reminder of the bravery of the immensely talented and dedicated people the Foundation funds in Africa. We have committed ourselves to helping to support the education of the families of the victims and calling for justice for the perpetrators of these crimes.

It is the practice of the Foundation to undertake annual field trips to witness first-hand the work of some of the organisations that we fund and to better understand the context in which they are working. In November, Amanda Jordan, Dhananjayan Sriskandarajah and David Cutler undertook a fascinating trip to Ethiopia to visit the work of the Jerusalem Children and Community Development Organisations (JeCCDO), and to Kenya to visit Article 19 East Africa and the Endorois Welfare Council. We are most grateful for the time and effort of our partners in making these trips possible.

Outside the Joint Programme, the Foundation has several smaller funded initiatives. The first of these, African Diaspora – African Development, is a partnership with Comic Relief and is described in more detail in the section on Special Initiatives. This year we also gave a one-off grant to the Charity Commission to work in South Africa with both the Government and civil society on the better regulation of voluntary organisations. This was given out of recognition that this is a significant issue in a number of countries in Africa where the space for civil society to operate in is becoming smaller.

Our grant of £166,184 is supporting Health Poverty Action to provide a range of services to enable displaced women and girls in Somaliland to access support after experiencing sexual and gender based violence.

Photo courtesy of Health Poverty Action



In addition, the Foundation involves itself more broadly in international development. This is mainly through the Director acting as the Co-Convenor of the relevant Issue Based Network of the Association of Charitable Foundations. Also the Foundation gave written evidence to the International Development Select Committee’s Inquiry into Private Foundations and, with the Nuffield Foundation and Paul Hamlyn Foundation, commissioned a new report *Global grant-making – A review of UK foundations’ funding for international development* by Professor Cathy Pharoah and Lynda Bryant, as a follow-up to *Going Global* published in 2007.

Joint International Development Programme Grants – Key Facts			
	2011	2010	2009
Number of applications	41	48	49
Number of eligible applications	39	42	39
Number of grants made	5	5	4
Success rate of eligible applications	13%	12%	10%
Total value of grants (Baring Foundation contribution only)	£662,804	£662,000	£616,605
Largest grant	£166,276	£166,078	£166,675
Smallest grant	£66,600	£39,926	£118,096
Average grant	£132,560	£132,400	£154,151

Joint International Development Committee

Baring Foundation

Myles Wickstead *Chair*
 Geoffrey Barnett
 Amanda Jordan
 Dhananjayan Sriskandarajah

Staff Lead
 David Cutler *Director*

Advisers John Twigg, Tina Wallace

John Ellerman Foundation

Sarah Riddell (*until October 2011*)
 Diana Whitworth
 Hugh Raven (*from November 2011*)

Staff Lead
 Nicola Pollock (*Director, from October 2011*)

Strengthening the Voluntary Sector

2011 marked the fifth and final year of our Strengthening the Voluntary Sector – independence grants programme. This programme has explored the role of organisational development in supporting organisations to maintain their independence from government. This has, first and foremost, been about giving grants to voluntary organisations, 54 in total, coming to just over £4 million. Alongside the grants, we have carried out other activities such as bringing all the grantees together to compare experiences, holding roundtable meetings, hosting larger public events and carrying out policy work. In 2011, we published *On the Front Foot*, the 7th in a series of working papers that has accompanied the programme. This paper reviews the programme's first grants given in 2006. Through this, we saw how grants had given organisations time and space to focus on their core identity and values, and from that starting point, to develop resources to uphold these values in the course of relationships with public bodies. We saw that this focus on upholding values was the dynamo that converted potentially static organisational development resources into independent action. Equipped with these resources, organisations were better prepared to negotiate the complex environments in which they work and to secure better outcomes for the people they support. All the working papers are available on our web-site.

To round off the programme, this year we launched the Panel on the Independence of the Voluntary Sector. The Panel brings together a group of authoritative sector figures to make a regular public statement on the state of voluntary sector independence in order to stimulate reflection, debate and action. Our hope is that it will become a vehicle for ensuring that there is a regular high quality and influential intervention on the importance of independence that helps to keep it as a top priority for the sector. The Foundation has funded the Panel for five years, bringing us up to the next general election in 2015.

From 2008, the STVS programme focused on the independence of advice and advocacy organisations. In the latter years, our grants have increasingly turned to the policy environment for the advice sector which has come to be dominated by concerns over public funding cuts. So, alongside traditional organisational development grants we have funded a range of other work. For example, we supported the Women's Institute and Scope to look at the impact of cuts to Legal Aid on their beneficiaries. Both reports went on to be influential and widely used in policy debates. We also supported the All Party Parliamentary Group on Legal Aid to become established and effective at raising the profile of Legal Aid amongst parliamentarians. We connect and collaborate with other funders in this field through the Trusts and City Law Firms Network for Advice, a group which we first convened in 2009 and we continue to service its regular meetings. The Network has acted as a helpful catalyst for joint action at a local level, for example work to support South West London Law Centres, and at a policy level, for example we worked with the Cabinet Office to convene an emergency summit on mitigating the impact of the cuts on the advice sector.

At the end of 2011, the Foundation ran a small pilot programme, in collaboration with the Esmée Fairbairn Foundation, called the Restructuring Fund. This supported nine front-line advice agencies to implement ideas for restructuring and organisational development that would put them on more sustainable footings. The programme has informed planning for the next round of the STVS programme. This will be called STVS – Future Advice and

will focus on helping the advice sector to adapt to a new environment characterised by much reduced public funding. At the same time, we will continue to explore the role the Foundation can play beyond the grants we give.

Strengthening the Voluntary Sector - Key Facts				
	2011		2010	
	No.	Value (£)	No.	Value (£)
STVS – Independence grants	7	278,757	14	892,534
STVS – Independence: Restructuring Fund	10	248,841	0	0
STVS – Independence Panel honoraria	5	2,500	0	0
Total	22	530,098	14	892,534



Legal Team at work with an interpreter. Our grant of £10,000 to Asylum Aid to develop new service models of quality assured legal advice and representation to people seeking asylum in the UK.

Photo courtesy of Asylum Aid

Strengthening the Voluntary Sector Committee

- Andrew Hind *Chair*
- Ann Buchanan
- Janet Morrison
- Christopher Steane

Staff Lead
Matthew Smerdon *Deputy Director*

Special Initiatives

African Diaspora – African Development

For many years the Foundation has funded NGOs in the UK founded by people from the African Diaspora and working in Africa. In 2009 we held a series of discussions looking at many aspects of the involvement of the African Diaspora and concluded that we would further support this process best through assisting the Diaspora with a greater capacity to affect policy development. We have done this through funding a new advocacy post in Comic Relief as part of a much larger programme. This was the first full year for this post and much has been achieved through a large number of training events as well as discussions to select high impact areas for policy development. The year ended on a high note with an extremely successful conference on health organised by the Royal African Society and funded by the Foundation with a key note speech from Lord Crisp, former Permanent Secretary of the Department of Health.



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Climate Change and the Voluntary Sector

The Foundation has run a Special Initiative on Climate Change and the Third Sector since 2008. This has focused on the role of non-environmental charities in action on climate change. At the end of 2010 the Baring Foundation began working with other funders on energy efficiency. This work initially grew out of the Environmental Funders Network and went on to be led by European Climate Foundation (ECF). We saw how energy efficiency offers a good way in to climate change issues for non-environmental voluntary organisations given its contribution to objectives such as poverty alleviation and health promotion. A new government energy policy going through Parliament at that time presented an important opportunity, which we aimed to maximize through a range of research and policy advocacy involving a wide coalition of environmental and non-environmental charities. ECF coordinated the programme, re-granting funds from a number of UK funders to NGOs working in this field including WWF-UK, Friends of the Earth and E3G. The funds contributed by the Baring Foundation were used:

- To fund an outreach worker to engage non-environmental organisations;
- To fund the Marmot Review Team at UCL to research and publish a systematic review into the health impacts of home energy inefficiency; and
- To make grants to National Children's Bureau and Transform UK to enable them to develop their work on energy efficiency policy.

One significant outcome secured by the collaboration as a whole was an amendment to the Energy Bill so that it would include mandatory energy efficiency standards for private rented accommodation by 2016. This affects 692,000 dwellings, creating much improved housing for many as well as a major new market for retrofit providers. It also removes the problem of vulnerable citizens in poor quality private rented accommodation not being able to improve the efficiency of their home, thereby addressing one of the major causes of fuel poverty affecting these households. Finally, it establishes a precedent for government to set minimum efficiency standards both for the private rented sector, but also in due course for all properties at point of sale.

Interculturality

The Foundation started to explore this concept in 2007 and offered as a working definition:

Interculturality is a dynamic process whereby people from different cultures interact to learn about each other and question their own and each other's cultures. Over time this may lead to cultural change. It recognises the inequalities at work in society and the need to overcome these. It is a process which requires mutual respect and acknowledges human rights.

From 2008 – 10, the Foundation gave expression to its interest in this area through funding the Institute of Community Cohesion to organise annual awards for good practice at the grassroots level. To conclude this work we organised an open competition for consultants to analyse the work of the winners of these awards and to generate good practice lessons. We received 19 applications and gave the commission to brap – Birmingham Race Action Partnership. A number of products from this work will be made available next year including a research report, training sessions, a series of manuals and a 'think-piece' directed to funders and policy makers.

Learning and Convening

The Foundation sets great store by promoting learning. This has a number of purposes: to help us better understand the field we are working in and so make more effective grants (for instance through mapping studies); to assist grantees in understanding how to achieve their aims better (for instance through funding evaluations – as much for the grantee as for ourselves); and to expand, more widely, thinking on a topic (for instance through commissioning an evidence review). We do this in a number of ways: by writing reports ourselves; by commissioning external experts; or by funding publications as part of the grants that we make.

This was a bumper year for publications from the Foundation. All of them can be downloaded from our website and many of them are available free from the office (please check the website first for availability). Around a dozen publications are planned for next year. In addition we have a back catalogue of around 25 Baring Foundation publications and a similar number of reports that we have assisted through funding.

- *On the Front Foot: A review of grants made under the 2006 round of the STVS – independence grants programme.*
By Milla Gregor and Matthew Smerdon.
- *Creative Homes: How the Arts can contribute to quality of life in residential care.*
By David Cutler, Des Kelly and Sylvie Silver.
Edited by Kate Organ.
- *An Evidence Review of the Impact of Participatory Arts on Older People.*
Mental Health Foundation.
- *Voluntary Sector Independence.* This is the first publication of the new Panel on the Independence of the Voluntary Sector, which has been established by the Baring Foundation to consider the state of independence of the sector over the next five years.
- *Collaborating to Advise; Lessons from Avon, Coventry and Nottingham.*
By Kevin Ireland.



In addition we funded the following reports by other organisations:

- *Global grant-making. A review of UK foundations' funding for international development.*
By Professor Cathy Pharoah and Lynda Bryant.
- *The outcomes & impact of youth advice – the evidence.*
By James Kenrick, Youth Access.
- *Legal aid in welfare: the tool we can't afford to lose.*
By Cristina Sarb and Marc Bush, with research support from Cate Fisher.
- *Legal Aid is a Lifeline: Women Speak Out on the Legal Aid Reforms.*
The National Federation of Women's Institutes.

It is often said that one of the greatest assets of foundations is our power to convene. The Baring Foundation does this in a variety of ways; by organising meetings at our offices, with the generous permission of our hosts, ING; through funding external meetings, usually conferences; and virtually, through funding (and often commissioning) websites.

The Foundation has chaired and hosted a number of meetings of the Trusts and City Law Firms on issues relating to social welfare legal aid as part of our STVS programme. We also held a seminar for all our international development grantees.

We funded and assisted in organising with our partners the Valuing Older People Unit and All About Audiences the first ever national conference on arts and older people on 19th October in Manchester Town Hall. It was free for participants and attracted over 200 people. We funded a free conference for the African Diaspora at the Royal Society of Medicine on health in Africa, organised by the Royal African Society and Comic Relief which again attracted over 200 people. Lastly, we funded a festival for arts and refugees in London which included a special exhibition of original works, a series of talks, several musical events as well as a conference over a day and a half, all under the Platforma banner.

This year we have funded three dedicated websites:

- on parents with learning disabilities and their children (www.right-support.org.uk)
- on arts and refugees (www.platforma.org.uk)
- and on arts and older people (to be launched next year).

We have also part-funded the creation of a knowledge exchange function for grant makers as part of the website of the Association of Charitable Foundations in response to a report by New Philanthropy Capital.

List of Grants Awarded in 2011

Summary of Grants Agreed in 2011	Value (£)
Baring Foundation Programme Grants	1,785,394
Special Initiatives	211,420
Baring Family Trustees' Fund Grants	16,500
Total	2,013,854

Arts Programme Core Costs Grants

Grantee	Geographical area	Description	Amount (£)
Acta Community Theatre Ltd	South West	Towards participatory arts activities in Bristol encouraging isolated older people to develop as pro-active artists and performers and contributing to the wider community.	27,280
An Lanntair	Scotland	For a project on the Isle of Lewis involving older people exploring memories in a visual and aural form both as art and oral history using bilingual artists.	6,225
Arts for Health Cornwall and Isles of Scilly	South West	Towards the creation of combined art works by older people facilitated by professional artists on the theme of Celebrating Age and Ambition culminating in exhibitions or performances in arts venues across Cornwall.	26,200
Aune Head Arts	South West	For work with a team of volunteer elders in Devon led by a professional artist/producer to make creative radio programmes.	28,500
B arts Ltd	West Midlands	For an intergenerational electronic music composition project in care homes and rehabilitation wards in Newcastle under Lyme exploring benefits of multi-sensory music technology in this setting.	24,412

Grantee	Geographical area	Description	Amount (£)
Clod Ensemble	London	For a programme of 12 monthly concerts by internationally acclaimed artists, one six week intensive arts project at two day centres in Tower Hamlets plus a production and dissemination of a toolkit for promoters.	30,000
Collective Encounters	North West	For a three year programme of creative work in Liverpool for and with people with dementia and their carers; led by the Third Age Theatre Group collaborating with professional artists and dementia specialists.	27,950
Cubitt Artists Ltd	London	Towards a major public art programme in London produced by and with local senior citizens from the Third and Fourth age, particularly those experiencing social exclusion, poverty or mental health problems.	27,000
East London Dance	London	To establish a dance company for older Londoners who will perform and deliver workshops in a range of community and high profile settings to inspire others to dance.	30,000
Magic Me	London	To bring together 60 older and younger people to learn creative skills to be performed at a May Day party at Wilton Hall.	30,000
Open Art Limited	Yorkshire	For a programme of artistic participatory events, workshops and short courses aimed at the cultural and mental needs of older people in Kirklees.	28,738
Oxford Concert Party	South East	To run three participatory projects in rural areas: training workshops for day care staff; creative arts based around food; a concert and six weekly workshops.	30,000

Grantee	Geographical area	Description	Amount (£)
Plantation Productions	Scotland	For media production projects in Glasgow using writing, character development, drama, multimedia, reminiscence, animation and the arts as a way of creatively engaging seniors with artists, film professionals and the wider community.	27,800
Rural Media Company	West Midlands	Towards creative media activities in the West Midlands steered by and involving older people in sheltered housing and younger people with housing problems, culminating in community screenings.	26,185
Skippko Arts Team	Yorkshire	For a participatory arts project in Leeds involving three groups of older people who will devise an artwork about 'Tea', visiting galleries and libraries for inspiration; culminating in an event/exhibition.	18,845
Spare Tyre Theatre Company	London	For a volunteer programme in London improving professional standards of future community artists working as artistic collaborators with older people.	30,000
Take Art	South West	Towards a project supporting the lifelong creativity of older people in eight residential settings in Somerset, Devon, Dorset and producing a pioneering film as a resource contributing to excellence in national dance leadership programmes.	29,000
Total of 17 grants			£448,135

Arts Strategic Development Grants

Grantee	Description	Amount (£)
Age UK Oxfordshire	To create and run a website on arts and older people.	59,500
All About Audiences	For work on a national conference on arts and older people to be held in Manchester in October 2011.	10,000

Grantee	Description	Amount (£)
Creative Scotland	Contribution towards the first year of setting up and running a Festival of Arts for Older People in Scotland.	25,000
Francois Matarasso	To document and publish the practice of a number of older artists.	12,000
London Arts in Health Forum	To research and write a short paper on recent funding for arts work with older people from health and social services sources in England and future prospects for these services.	3,000
Mental Health Foundation	To review the existing evidence available on the impact of the arts on the lives of older people.	25,000
Winston Churchill Memorial Trust	To part-fund the costs of an adviser and travel costs for 2012-2014, relating to the Baring Foundation - Winston Churchill Memorial Trust partnership.	5,000
Total of 7 grants		139,500

Joint International Development Programme Grants

In collaboration with the John Ellerman Foundation

The Baring Foundation's grant contribution is shown in the tables below. The John Ellerman Foundation provides a further 50% to the grant amounts shown here.

Grantee	Description	Amount (£)
Feed the Minds	To promote peace-building, mediation and civic education by improving literacy skills amongst displaced people in Western Equatoria, South Sudan.	106,568
Health Poverty Action	To provide a range of services to enable displaced women and girls in Somaliland to access support after experiencing sexual and gender-based violence.	166,184
Network for Africa	To build the capacity of a local NGO, Patongo Counselling Community Outreach in Northern Uganda, focussing on post- traumatic stress disorder, by improving its organisational systems, developing new training courses and materials and recruiting new outreach counsellors.	66,600
Peace Direct	To develop models that reintegrate Internally Displaced People and ex-combatants through community development initiatives in Eastern Democratic Republic of Congo.	166,276
Womankind Worldwide	To promote and protect the rights of refugee women and children, by scaling up and strengthening paralegal resources within two refugee camps in Western Tanzania.	157,176
Total of 5 grants		£662,804

Other Joint International grants

Grantee	Description	Amount (£)
Trust for Africa's Orphans	Towards the cost of preparing an application.	733
Rainforest Foundation UK	Towards the cost of preparing an application and for the travel costs of the African NGO partner.	1,332
CORD	Towards the cost of preparing an application and for the travel costs of the African NGO partner.	1,332
Total of 3 grants		£3,397

Strengthening the Voluntary Sector Independence Programme Grants

The Independence programme 2011

Grantee	Description	Amount (£)
Access to Justice Foundation	To contribute towards the costs of a development worker.	20,000
Civil Exchange*	To establish and run the Panel on the Independence of the Voluntary Sector.	130,757
Compendium Consulting	To produce a report sharing the lessons emerging from collaboration between legal advice organisations in Bristol, Coventry and Nottingham.	8,000
Frontier Economics Ltd	To carry out a feasibility study into new models of funding specialist legal advice.	20,000
Legal Action Group	To support the activities of the Justice for All campaign.	50,000
Legal Action Group	To engage four non-advice organisations in collecting and analysing evidence of the impact of proposed changes to legal aid on their beneficiaries.	40,000
Legal Aid Practitioners Group	To support the activities of the Justice for All campaign.	10,000
Total of 7 grants		278,757

*Panel on the Independence of the Voluntary Sector - As part of acknowledging the contribution of Panel members, we offer an honorarium of £500 to be paid to the charity of the Panel member's choice. The following organisations received funds.

Disability & Deaf Arts
 Federation of London Youth Clubs
 Joseph Rowntree Foundation
 Relatives and Residents Association
 Roma Support Group

STVS – Independence: Restructuring Fund

Grantee	Description	Amount (£)
Asylum Aid	To develop new service models for the delivery of quality assured legal advice and representation to people seeking asylum in the UK.	10,000
Coventry Law Centre	To develop and test a new model for advice delivery focused on early intervention and impact.	30,000
DG Legal	To review work funded by the Advice Restructuring Fund and to produce a report for publication.	18,000
Greater Manchester Immigration Aid Unit	To assess the potential for future collaboration with other agencies and to purchase HR support to review the organisation's employment contracts.	10,950
Hammersmith and Fulham Community Law Centre	To support the organisation and its partner, Nucleus Legal Advice Centre, to co-ordinate legal advice services in west London to help maintain and improve the provision of social welfare law in this area.	30,000
Islington Law Centre	To assist in the development of a charging subsidiary which will offer low cost legal advice and representation in areas that are due to move out of scope of legal aid.	30,000
Public Law Project	To upgrade IT infrastructure, purchase a new case management system and improve the organisation's administration.	30,000
Rochdale Law Centre	To employ a part-time employment solicitor as part of establishing a new Community Interest Company.	29,970
Toynbee Hall	To carry out a feasibility study into the setting up of a Community Debt Management Service.	29,921
Toynbee Hall	To review and redesign the organisation's advice service.	30,000
Total of 10 grants		248,841

Special Initiatives

Interculturality

Grantee	Description	Amount (£)
Birmingham Race Action Partnership	To generate lessons from the Awards for Bridging Cultures and to use this learning to promote intercultural dialogue.	74,645

African Diaspora – African Development

Grantee	Description	Amount (£)
Comic Relief Limited	To continue funding a full time post.	50,000

Other Grants

Grantee	Description	Amount (£)
Association of Charitable Foundations	To contribute to the pilot knowledge website for independent funders.	4,775
Charity Commission For England And Wales	To support the better regulation of civil society in South Africa.	75,000
Migration Museum Project	Seed corn funding for the Working Group of the Migration Museum to start the project.	5,000
National Council for Voluntary Organisations	Towards the printing costs of 'Participation, trends, facts and figures'.	2,000
One World Action	Towards the cost of the 100 Women Awards and Legacy event 27 October 2011.	2,000
Total of 5 grants		£88,775

Baring Family Trustees' Fund

Grantee	Description	Amount (£)
Civil Liberties Trust	Towards relocation costs.	5,000
Gilsland Village Hall	Towards a low energy refurbishment project.	6,500
St Michael's Fellowship	To cover the costs of writing and producing a comprehensive set of learning resources aimed at young fathers across the country.	5,000
Total of 3 grants		£16,500

Financial Summary

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

Statement of financial activities (incorporating an income and expenditure account) For the year ended 31 December 2011

	Restricted £	Unrestricted £	2011 Total £	2010 Total £
Incoming resources				
Incoming resources from generated funds				
Voluntary income				
Donations	111,250	–	111,250	19,250
Donated services	–	203,310	203,310	171,032
Investment income	–	1,063,367	1,063,367	1,128,063
Total incoming resources	111,250	1,266,677	1,377,927	1,318,345
Resources expended				
Costs of generating funds				
Investment management	–	320,096	320,096	261,340
Charitable activities				
Strengthening the Voluntary Sector	103,000	602,927	705,927	1,057,905
Arts programme	–	662,574	662,574	709,202
International programme	–	724,214	724,214	742,467
Special Initiatives	–	260,750	260,750	357,672
Other work	–	204,768	204,768	172,596
Governance	–	33,290	33,290	31,861
Total resources expended	103,000	2,808,619	2,911,619	3,333,043
Net outgoing resources before transfers	8,250	(1,541,942)	(1,533,692)	(2,014,698)
Gross transfers between funds	(11,250)	11,250	–	–
Net outgoing resources before revaluations and investment asset disposals	(3,000)	(1,530,692)	(1,533,692)	(2,014,698)
Net loss on investments	–	(2,768,374)	(2,768,374)	4,930,831
Net movement in funds	(3,000)	(4,299,066)	(4,302,066)	2,916,133
Funds at the start of the year	4,000	62,298,637	62,302,637	59,386,504
Funds at the end of the year	1,000	57,999,571	58,000,571	62,302,637

All of the above results are derived from continuing activities. There were no other recognised gains or losses other than those stated above.

The statement of financial activities includes the income generated by the assets held in the investment portfolio and the net gains or losses on those investments. However, the Baring Foundation operates a total return policy on investments and the figures in the statement of financial activities do not reflect the distributions from the portfolio to fund the activities of the Foundation.

Statement from the Trustees of the Baring Foundation

These summarised financial statements contain information from both the Statement of Financial Activities and the Balance Sheet for the year ended 31 December 2011, but are not the full statutory Report and Accounts. The full financial statements were approved by the Council on 18 June 2012 and subsequently submitted to the Charity Commission and Companies House. The auditor has issued an unmodified report on the full financial statements and on the consistency of the trustees' annual report with those financial statements.

Their report on the full annual financial statements contained no statement under sections 498 (2) (a), 498 (2) (b) or 498 (3) of the Companies Act 2006.

Copies may be obtained from the Foundation's registered office at 60 London Wall, London, EC2M 5TQ.

Signed on behalf of the Trustees

Amanda Jordan, Chair
Geoffrey Barnett, Treasurer

18 June 2012

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

Balance Sheet as at 31 December 2011

	£	2011 £	2010 £
Fixed assets			
Investments		58,519,664	63,637,883
Current assets			
Debtors	15,687		5,999
Cash at bank and in hand	1,255,140		910,691
	<u>1,270,827</u>		<u>916,690</u>
Liabilities			
Creditors: amounts due within one year	1,401,022		1,515,555
Net current liabilities		<u>(130,195)</u>	<u>(598,865)</u>
Total assets less current liabilities		58,389,469	63,039,018
Creditors: amounts due after more than one year		<u>388,898</u>	<u>736,381</u>
Net assets		<u>58,000,571</u>	<u>62,302,637</u>
Funds			
Restricted funds		1,000	4,000
Unrestricted funds			
Revaluation fund		2,094,173	7,047,350
General funds		55,905,398	55,251,287
Total funds		<u>58,000,571</u>	<u>62,302,637</u>

Independent auditor's statement to the trustees of Baring Foundation

We have examined the summary financial statement for the year ended 31 December 2011, which comprises the statement of financial activities and the Balance Sheet.

Respective responsibilities of trustees and the auditor

The trustees are responsible for preparing the summary financial statement in accordance with applicable United Kingdom law and the recommendations of the charities SORP.

Our responsibility is to report to you our opinion on the consistency of the summary financial statement within the financial summary and with the full annual financial statements and the Trustees' Annual Report, and its compliance with the relevant requirements of section 427 of the Companies Act 2006 and the regulations made thereunder.

We also read the other information contained in the Report on Activities and consider the implications for our report if we become aware of any apparent misstatements or material inconsistencies with the summary financial statement.

Basis of opinion

We conducted our work in accordance with Bulletin 2008/3 issued by the Auditing Practices Board. Our report on the charitable company's full annual financial statements describes the basis of our opinion on those financial statements and on the Trustees' Annual Report.

Opinion

In our opinion the summary financial statement is consistent with the full annual financial statements and the Trustees' Annual Report of the Baring Foundation for the year ended 31 December 2011 and complies with the applicable requirements of section 427 of the Companies Act 2006, and the regulations made thereunder.

We have not considered the effects of any events between the date on which we signed our report on the full annual financial statements on 18 June 2012 and the date of this statement.

Sayer Vincent, Statutory Auditors
8 Angel Gate
City Road
LONDON
EC1V 2SJ

18 June 2012

ENVIRONMENTAL POLICY

Paper used is chlorine free and is taken from environmentally sustainable forests. Vegetable oil based inks are used by our printers instead of solvents which can damage the environment. All inks are disposed of using environmentally sound techniques.