

The Baring Foundation

Report on Activities

2009

The Baring Foundation – People

Council of Management

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Mark Baring *Chair, Investment Committee*

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Katherine Garrett-Cox

Janet Lewis-Jones *retired 8th June 2009*

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Jim Peers *Chair, Arts Committee*

Ranjit Sondhi C.B.E.

Christopher Steane *Chair, Audit Committee*

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Contents

Purpose and Values	3
Chair's Statement	5
Director's Report	7
Arts Programme	9
Joint International Development Programme	11
Strengthening the Voluntary Sector Programme	13
Special Initiatives	15
Climate Change and the Third Sector	15
Creating a Better Deal for Parents with Learning Difficulties and their Children	16
Interculturality	17
Publications and Events	19
List of Grants Awarded in 2009	22
Financial Summary	27

Aisha



Photo courtesy of WheelWorks

Light Writing project with young people from host communities in Belfast. Our grant of £47,754, over three years, is supporting the WheelWorks Multiple Realities Programme working throughout Northern Ireland, with young people from asylum seeker, migrant and host communities.

Purpose and Values

Our Purpose

is to improve the quality of life of people suffering disadvantage and discrimination. We aim to achieve this through making grants to strengthen voluntary sector organisations which serve them directly or indirectly and by the added value we bring to this.

Our Values

We:

- 1** believe in the fundamental value to society of an independent and effective voluntary sector;
- 2** use our funds to strengthen voluntary sector organisations, responding flexibly, creatively and pragmatically to their needs and with a determination to achieve value for money;
- 3** put high value on learning from organisations and their beneficiaries. We seek to add value to our grants by encouraging the communication of knowledge through a variety of means, including influencing others;
- 4** seek to build positive, purposeful relationships with grant recipients, as well as with other grant makers;
- 5** aim to treat grant-seekers and recipients with courtesy and respect, being as accessible as possible within clear programme guidelines and maintaining consistently high standards of administrative efficiency.



Photo courtesy of the World Association of Girl Guides and Girl Scouts (WAGGGS)

Our grant of £52,500, over three years, was awarded in 2007.

The grant is supporting WAGGGS' project to develop and deliver training programmes in life skills, non-formal education and entrepreneurship to refugees and displaced girls and young women in one refugee camp in the Republic of Chad.

Chair's Statement

This has been a landmark year for the Foundation as we celebrated our 40th anniversary. We marked this with a small celebration when we welcomed back former trustees, staff and grantees as well as many of our current friends and advisers. I was given the opportunity not only to thank them for all of their support over the years but also to be able to thank ING Bank and particularly Gerlach Jacobs, CEO, UK Commercial Banking, and John Howland Jackson who were with us for our celebration.

The evening was a real reminder of the strength and depth of the Foundation's work which is rooted in 40 years of policy and practice. I sensed true respect and affection for the work that we do and I know all of the current team of trustees and staff felt proud to be carrying that work forward. I am very conscious though that it has not been a year of celebration for many of those with whom we work. We ourselves had to make some difficult decisions regarding the amount that we were able to make available for our grants programmes during this year. However we have continued to add value to our work through our publications and events which we know are welcomed by many in the sector.

I am extremely fortunate to be surrounded by a wise group of fellow trustees. Their knowledge of the voluntary sector coupled with sound financial expertise meant they have been the ideal group to have in the 'balloon'. I have never felt tempted to eject anyone but sadly after our specified time we have to retire from the Council and we had one trustee who left us. We bid farewell to Janet Lewis-Jones who has done sterling work for the Council and on various committees. Janet's counsel will be very sadly missed and our sincerest thanks go to her. I am delighted that we welcomed Dr Dhananjayan Sriskandarajah on board. Dhananjayan has a wealth of experience, not least in his current role as Director of the Royal Commonwealth Society and he is already bringing his knowledge to bear in both the Council and as a member of the Joint International Development Committee.

Once again our staff team led by David Cutler has had a busy and productive year. Not only do the team manage a very full, lively and challenging programme of work very efficiently both David and the Deputy Director, Matthew Smerdon ensure that our grants programmes remain fresh and instructive. For most people David, Matthew and all of the team are the Foundation and it is down to them that we are held in such high regard. On behalf of the trustees I would like to thank them for their hard work.

Amanda Jordan



Our grant of £75,000, over three years, is supporting Amber Associates working with the host community, refugees and asylum seekers. Amber Associates is using film and photography to document long term cohabitation in Byker.

*Nancy-Jade, **Byker Revisited** by Sirkka-Liisa Konttinen.*

Photo courtesy of Sirkka-Liisa Konttinen/Amber

Director's Report

2009 marked the 40th anniversary of the creation of the Baring Foundation. We began the year with a strategy review which acknowledged that we would need to reduce the amount that we can give this year to support the voluntary sector. However, the Foundation drew on the strength of its experience in weathering worse financial storms and concentrating on how to add the maximum value to every grant that we make. It was no coincidence that we published seven reports and held a series of major events connected to our programmes. In addition we conducted an anonymous survey of our grantees who indicated their satisfaction with this style of grant making.

Our overall approach remained to give large, long-term grants which support an organisation to become more effective. Two of the Foundation's three main grant programmes ran unaltered. The Joint International Development programme with our partner, the John Ellerman Foundation, funded capacity building in Sub-Saharan Africa to address needs arising from long-term forced displacement. There was no grants round this year for the Strengthening the Voluntary Sector programme, but attention was paid to maximising the effect of the grants made in 2006 and 2008 on the theme of the independence of the voluntary sector from government. It was an important

Staff and beneficiaries at the Kisulu Refugee Camp, Tanzania. The project was visited by David Cutler, Amanda Jordan and Geoffrey Barnett in November 2009. Our grant of £150,500, over three years, was awarded in 2007. The grant is supporting One World Action's work to strengthen the capacity of refugee communities in Tanzania to respond to sexual and gender-based violence.

Photo courtesy of Andy Rutherford, One World Action



transitional year for our Arts programme which concluded six years of funding to arts organisations working with refugees and asylum seekers and began a major new programme on the theme of arts organisations working with older people.

In addition to these grants programmes, the Foundation runs three smaller Special Initiatives. The Foundation's interest in interculturality continues to find expression in annual *Awards for Bridging Cultures* at grassroots level. These were organised very effectively for a second year by the Institute of Community Cohesion. Secondly, we have begun an ambitious experiment to see the effects of encouraging non-environmental domestic charities to consider the effect of climate change on their mission. Lastly, we gave our final funding to a consortium that has been championing, with remarkable success, the issue of the rights of parents with learning difficulties and their children.

Since 2000 we have followed a total return strategy both for the management of our investment fund and for arriving at the amount available to fund grants and to meet our operating costs. This amount includes both income arising from our portfolio and an element of long-term capital growth. This has been based on a percentage (4.5%) of the total averaged over the preceding three years, but in 2009 it was decided that calculations should be on the same basis but commencing at the value of the portfolio at the end of 2008. This required us to sharply reduce our expenditure in 2009 which is showing signs of rising in 2010.

Once more we enjoyed the use of free space within the City of London offices of ING Bank and benefitted from their administrative support in a number of ways. We are very conscious of this support which extends our ability to make grants.

The quality of the board of trustees of the Foundation is widely recognised in the sector including by our grantees who frequently remark on their level of personal involvement. This combined with an outstanding team of staff and advisers makes my job both a pleasure and a privilege.

David Cutler

Baring Foundation Grants – Key Facts		
Programme	Number	Value (£)
Strengthening the Voluntary Sector – Independence Programme	1	30,000
Joint International Development Programme – core grants	4	616,605
Joint International Development Programme – other grants	7	42,907
Arts Programme – core grants	8	480,000
Arts Programme – other grants	3	170,000
<i>Special Initiatives and Learning Grants:</i>		
Climate Change and the Third Sector	2	7,726
Creating a Better Deal for Parents with Learning Difficulties and their Children	1	25,000
Learning Grants	2	4,880
Baring Family Trustees' Fund	3	19,000
Total	31	1,396,118

Arts Programme

The overall aim of the programme is to support the provision of opportunities to participate in the arts in educational and community settings for individuals or groups whose access to the arts is limited. After a two stage application process covering the whole of the UK, core costs grants are given on a sliding scale, depending on the income of the grantee.

2009 was a transitional year for the programme which, after six annual grant rounds, saw the last grants made under the theme of arts organisations working with refugees and asylum seekers as well as the launch of a new programme for arts organisations working with older people.

38 eligible applications were received under the refugees and asylum seekers theme and this resulted in grants to:

- Acta Community Theatre
- Action Factory Community Arts
- Amber Associates
- Hi8us South
- Roma Support Group
- Tees Valley Arts
- Theatre Royal Plymouth
- WheelWorks.

In addition the trustees decided to make an exceptional grant to the Helen Bamber Foundation for refugees to produce a cantata.

As in previous years we invited all the organisations funded under this programme to meet at an exchange event. This year's day long event was held at the Battersea Arts Centre and attracted around 60 participants.

The Foundation also joined forces with the Arts Council England to create a grant to sustain some of this work into the future. Based on the report published last year by the Foundation and other partners, *Arts and Refugees: History, Impact and Future*, along with a supplementary consultation funded by the Arts Council, a competition was organised to provide a dedicated website and national events. A consortium of Refugee Week UK, Oval House Theatre and ArtReach was awarded the grant and work will begin in 2010.

After considering a number of options, trustees decided that the new theme for our arts funding should be arts organisations working with older people. This programme was launched in September with a new publication, the first UK wide mapping report on arts organisations working with older people. Entitled *Ageing Artfully: Older People and*



Photo courtesy of Roma Support Group

Our grant of £69,814, over three years, is supporting the Roma Support Group enabling the development of art work with Roma refugees, asylum seekers and migrants.

Professional Participatory Arts in the UK, it collected together case studies of 120 arts organisations along with comparisons in the USA and Ireland. It suggested that this creative activity offered benefits principally in two inter-related dimensions, health (mental and physical) and personal and community relations. A number of strategic issues were identified for developing this neglected issue: research; policy and funding; the scaling up of activity; festivals; local authorities and health trusts; partnerships with older people's service providers; the regulation of care; practice development, training and standards; major venues; publicity; leadership of older people; and coordination and sector advocacy.

The programme has without doubt lighted upon an important issue. In December we received 128 eligible applications by arts organisations for the new programme, our highest number ever.

Arts Programme Grants – Key Facts

	2009	2008	2007
Number of applications	43	46	49
Number of eligible applications	38	36	41
Number of grants made	8	10	8
Success rate of eligible applications	21%	28%	20%
Total value of grants	£480,000	£597,945	£525,000
Largest grant	£75,000	£75,000	£75,000
Smallest grant	£9,976	£45,000	£42,000
Average grant	£60,000	£59,795	£65,625

Other Arts Programme Grants – Key Facts

	2009
Beyond Skin (one-off organisational development grant)	£5,000
Helen Bamber Foundation (exceptional grant)	£15,000
Refugee Week UK (Arts and Refugees theme, website and national events)	£150,000*
	* of which £50,000 was co-funded by the Arts Council
Total	£170,000

Arts Committee

Jim Peers *Chair*

Mark Baring

Janet Lewis-Jones (*until June 2009*)

Janet Morrison (*from September 2009*)

Ranjit Sondhi

Staff Lead

David Cutler

Adviser

Kate Organ

Joint International Development Programme

The purpose of the programme is to improve the effectiveness of Non Governmental Organisations (NGOs) and Community Based Organisations (CBOs) in Sub-Saharan Africa to address the problems arising from the long-term forced displacement of people. Grants are offered for up to five years to UK registered NGOs working with voluntary sector partners in the affected countries. Hence the relationship between UK based organisations and African partners is critical to the success of a grant.

The programme is run in collaboration with the John Ellerman Foundation. All decisions are taken together and a Memorandum of Understanding governs our joint venture. This was the second year that funding was available of £1 million (£666,000 from the Baring Foundation with the balance from the John Ellerman Foundation).

39 eligible applications were received and four grants were made. An additional grant was made to Skills for Southern Sudan to extend its work and using a reclaimed grant for work in the same country. It was agreed to increase the amount given to unsuccessful shortlisted applications up to £2,000 each if they bring over a partner to the second stage interview.

Considerable effort is put into undertaking annual field trips to see some of the work that we support. This year, two members of the Joint International Committee, Amanda Jordan and Geoffrey Barnett, went with David Cutler to Tanzania. The trip was our first to a refugee receiving country and we had fascinating visits to the two refugee camps, Nyarugusu and Mtabila, accommodating refugees from the Democratic Republic of the Congo and Burundi respectively. We fund the Women's Legal Aid Centre in Tanzania to train paralegals there to work on issues of gender based and sexual violence. A separate visit took place to Morogoro where we saw the work of the Faraja Trust with street children. We are very grateful to One World Action and the Women's Legal Aid Centre for their help in organising this trip.

We try to learn from the grants that we make in a number of ways. After a grant is made an inception meeting with one of our International Development advisers, Tina Wallace refines the evaluation plan for the project, which must include an independent evaluation.

Photo courtesy of AfriKids



Our grant of £166,050, over five years, is supporting capacity building of AfriKids Ghana and local partners to prevent north-south migration in Ghana. Their work includes direct resettlement and rehabilitation of displaced children.

The first twelve of these have formed the basis for a programme review by our other International Development adviser, John Twigg, which was published this year under the title *Sitting on Chairs: Observations on Capacity Building in Developing Countries*. Lastly we once again brought together all the organisations that we are funding for a seminar at the Foundation. It has been decided to hold a four day conference next year in Uganda, in order to directly involve our African partners.

Beyond the grants programme itself we have other activities. We encouraged Wilton Park Residential Centre to hold a major residential conference on climate change and international development which we part-funded. A note of this meeting is on our website. In his role as Co-Convenor of the Association of Charitable Foundations' group on International Development, David Cutler organised a meeting for funders on climate change addressed by Camilla Toulmin, the Director of the International Institute for Environment and Development, another meeting with development author Clare Lockhart on 'fixing failed states' and a third on the Conservative Party's policy on international development with the then Shadow International Development Secretary, Andrew Mitchell, MP. We have also agreed to support the umbrella group for UK based INGOs, Bond to hold a series of five meetings at the Foundation on the future for large INGOs.

Through the year we also held three evening events, initiated and chaired by our trustee, Myles Wickstead on the role of the African Diaspora in development. The Foundation is considering whether it can take this issue further next year.

Joint International Development Programme Grants – Key Facts

Baring Foundation contribution only			
	2009	2008	2007
Number of applications	49	36	41
Number of eligible applications	39	30	30
Number of grants	4	5	4
Success rate of eligible applications	10%	16%	13%
Total value of grants	£616,605	£629,036	£525,000
Largest grant	£166,675	£166,675	£175,000
Smallest grant	£118,096	£39,374	£52,500
Average grant	£154,151	£125,807	£130,800

Joint International Development Committee

Baring Foundation	John Ellerman Foundation
Myles Wickstead <i>Chair</i>	Sarah Riddell
Geoffrey Barnett	Dominic Caldecott
Amanda Jordan	

<i>Staff Lead</i>	<i>Staff Lead</i>
David Cutler <i>Director</i>	Tim Glass <i>Director</i>

Advisers
 John Twigg
 Tina Wallace

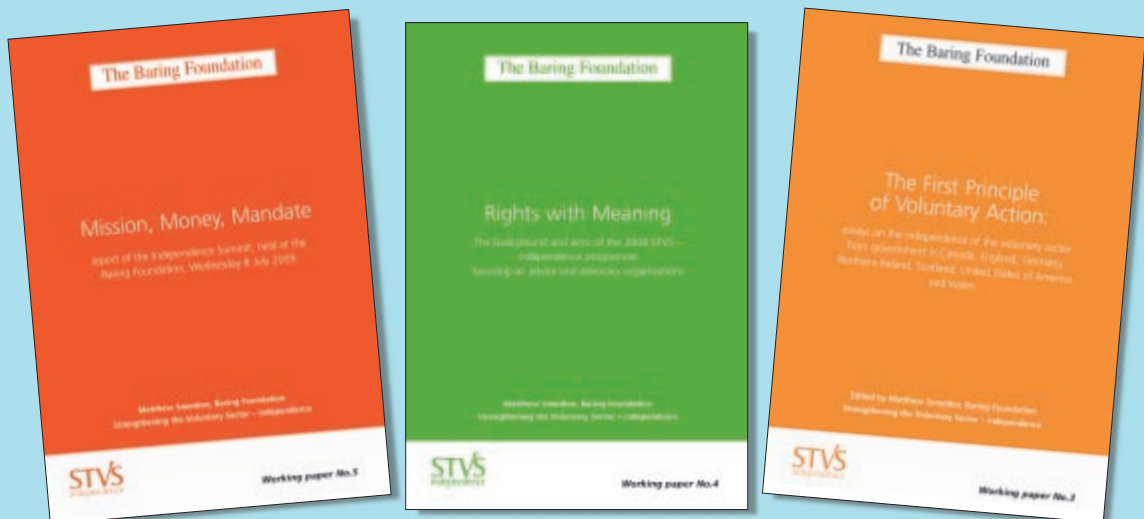
Strengthening the Voluntary Sector Programme

For the past fourteen years, the Strengthening the Voluntary Sector (STVS) programme has supported organisations to carry out organisational development activity that makes them more efficient and effective. Since 2006, this programme has focused on how organisational development can particularly help organisations to maintain their independence from government. This programme grew out of a concern that whilst closer relationships between voluntary organisations and all the branches of government offered great opportunities to extend services and have more influence over policy, they also contained the potential to undermine the freedom of voluntary organisations to uphold their own purpose and values.

In 2008, the STVS programme focused on one part of the voluntary sector, legal advice and advocacy organisations. By helping people to secure their legal rights and entitlements from government, issues of independence from government have particular importance to these organisations.

The Foundation did not run another round of major grants in 2009, but has made two additional grants and put efforts into a range of activities alongside the grants to help advance the overall aims of the programme.

In June, we convened a group of trusts, foundations and City law firms that provide support to legal advice agencies to discuss what we might collectively do to address some of the challenges being faced by these organisations. As a group we went on later in the year to meet senior officials from the Ministry of Justice (MoJ) to discuss concerns. One outcome of this has been a major programme of support funded by government, City law firms and trusts, for work based at South West London Law Centre but looking at issues around the sustainability of specialist legal advice agencies more widely. We also met representatives of the Conservative Party to discuss issues about the independence of the voluntary sector as well as current pressure on advice agencies. We have joined the implementation panel for the MoJ's Study of Legal Advice at Local Level representing



trusts, and taken part in research carried out by the Prime Minister’s Council on Social Action around the pressures on advice agencies. Finally, we have supported work by the Commission for the Compact on producing guidance on the value of independence.

This year, several new publications have been issued in the series of working papers that accompany the grants programme. *The First Principle of Voluntary Action*, a collection of essays by academics looking at independence from government in seven different countries, was launched at the Spring conference of the Voluntary Sector Studies Network in Belfast. In July 2009 we held an Independence Summit involving 70 practitioners, policy makers, funders and academics interested in how to advance the independence of the voluntary sector from government. The event was written up in our report *Mission, Money, Mandate* and is accompanied by an annex giving the full text of the speeches by Julia Unwin, Andrew Hind, Professor Nicholas Deakin, Sir Bert Massie, Sarah Benioff and Matthew Smerdon. In October, we published *Rights with Meaning* which sets out the background to the grants made to advice and advocacy organisations and the ways in which the challenges facing these organisations are having an impact on their independence. Finally, we have worked on reviewing the outcomes of the first round of the STVS – independence programme in 2006. This will be published in 2010. All our reports are available on our website.

Other grants made this year included £30,000 to advice agencies in Nottingham to help them to participate in work on adopting a systems-thinking approach to planning and delivering advice services in that city. The Foundation has supported AdviceUK’s work on this since 2006 and this was an exciting opportunity to take the work forward. A grant of £1,500 was made to the Legal Aid Practitioners Group to support the first meeting of the All Party Parliamentary Group on Legal Aid and to set up a website for the group.

Strengthening the Voluntary Sector Committee

Nicholas Deakin <i>Chair</i>	<i>Staff Lead</i>
Ann Buchanan	Matthew Smerdon
Katherine Garrett-Cox	
Janet Morrison	
Christopher Steane	



Special Initiatives

Climate Change and the Third Sector

It is clear that climate change is already having harmful effects on issues such as public health, poverty, security, migration and human rights. These effects threaten to undo past progress on tackling these problems as well as complicate or increase the scale of needs that will require addressing in the future.

As a generalist funder, the Baring Foundation had not in the past had any particular focus on the environment. However, the stark conclusion of the *Stern Review on the Economics of Climate Change* in October 2006, that climate change presents very serious global risks and demands an urgent global response, prompted our trustees to explore what the Foundation could contribute.

We became interested in the ways in which climate change is more than just an environmental issue, presenting challenges and opportunities for organisations from right across the voluntary sector, not solely environmental groups. Consequently, at the end of 2008, we made four grants totalling £280,190 to a group of organisations to work with non-environmental voluntary organisations to explore the impact of climate change on their charitable purpose. We hoped that bringing together climate experts with experts in the non-environmental voluntary sector would generate new perspectives about the impacts of climate change and encourage new organisations to get involved in action on tackling climate change.

The four pieces of work that have taken place during 2009 are:

- **The Big Response**, delivered by the National Council for Voluntary Organisations (NCVO), Global Action Plan and Green Alliance working with organisations that support vulnerable people: British Red Cross, Equinox Care (working with people with alcohol, drug and mental health problems), Friends of the Elderly and the Royal National Institute of Blind People (RNIB).
- **Building a Climate Smart Future**, led by National Children's Bureau and the Institute of Development Studies working with children's and youth organisations: Action for Children, the Pre-School Learning Alliance and the National Youth Agency.
- **Shared Energy**, delivered by bassac, New Economics Foundation, Community Development Foundation, Community Sector Coalition and Groundwork working with community anchor organisations in London, Yorkshire and Humber and the south west.
- **The 'Climate Refugee' Roundtable** convened by Climate Outreach Information Network (COIN) working with refugee and human rights organisations: The Refugee Council, Refugee Action, Asylum Aid, Amnesty International (IS), Amnesty International UK, UNHCR London, Migrant Rights Network, Institute for Public Policy Research, Refugee and Migrant Justice (formerly Refugee Legal Centre), Praxis, PANOS London, World Wildlife Fund (WWF), City of Sanctuary in Sheffield, Quaker Society, Christian Aid, World Development Movement, OneWorld, and Evelyn Oldfield Unit.

The four projects have used different methods and approaches to engage the participating organisations including roundtable meetings, games, scenario planning and strategic planning. All four projects have provided hands-on support to organisations and have sought to respond to organisations own interests and expertise. Most of the organisations have been paid for their time to help ensure that they had the capacity and opportunity to participate fully.

Various resources, including toolkits and material on websites, are being developed by the projects and the Foundation has made further grants in 2009 to support the publication and dissemination of these. The four projects will finish in early 2010 and be written up in a report to be published later that year. The work will be discussed at a number of events and we plan to hold a launch for funders and infrastructure bodies here at the Foundation in June 2010. The Foundation will also announce plans for the next stage of this work which will run from 2010 to 2012.

One important initiative that got underway in 2009, that connects with the work here, was the *Joint Ministerial and Third Sector Task Force on Climate Change, the Environment and Sustainable Development*. Its aim was to enable a rapid acceleration of commitment and action on climate change, the environment and sustainability throughout the Third Sector. It sought specifically to develop the wider Third Sector’s role in climate change mitigation and involved people from a range of organisations including a number that were involved in the special initiative - Green Alliance, National Children’s Bureau and NCVO. Trusts and foundations were represented on the Task Force by Clare Thomas of the City Bridge Trust. Towards the end of the Task Force’s work, we joined forces with City Bridge Trust to fund Green Alliance to draft a scoping paper on taking forward the Taskforce’s recommendations.

We remain an enthusiastic participant in the Environmental Funders Network (EFN). In April, we hosted EFN’s Spring lunch which looked at using the legal system to protect the environment. See www.greenfunders.org.

Creating a Better Deal for Parents with Learning Difficulties and their Children

This was the last year of grants made to this Special Initiative which had begun in 2005 with a concern by the then Chair of the Foundation, Tessa Baring, that many parents with learning difficulties had their children taken from them when appropriate support would have meant that the family could have stayed together. She was proved right by the report that we commissioned from the Norah Fry Research Centre (NFRC) at the University of Bristol entitled *Finding the Right Support? A review of issues and positive practice in*

supporting parents with learning difficulties and their children.

The Baring Foundation has supported a consortium led by the Norah Fry Research Centre on creating a better deal for parents with learning difficulties and their children. The latest publication from this Special Initiative gives a unique insight into how the right support to parents produces enormous benefits to these families.



In order to take this issue forward the Foundation invited consortia to apply for a grant to set up resourced policy and practitioner networks. After a competition this grant was awarded to NFRC leading a group composed of

CHANGE, a national advocacy group with a particular focus on parents, the Scottish Consortium for Learning Disability and Family Action as well as several individual experts. In total this funding has lasted for around four years and included £40,000 from the Esmée Fairbairn Foundation.

At a policy level the consortium continued to be highly involved in a whole series of consultations for instance by the Joint Committee on Human Rights. Of particular note was the creation of the *Scottish Good Practice Guidelines for Supporting Parents with Learning Difficulties 2009* with the Scottish Government and at the end of the year the publication of *Supporting Parents with Learning Disabilities and Difficulties: Stories of positive practice*. This publication is available as a pdf from our website.

At the practitioner level there has been three well attended regional multi-disciplinary meetings.

In 2009, the Foundation gave a final grant of £25,000 which will allow the continuation of the dedicated website www.right-support.org.uk and annual meetings of a taskforce and hence some continued momentum for this highly successful initiative.



Photo courtesy of the Institute of Community Cohesion

Early Years, the organisation for young people: Media Initiative for children, works across Northern Ireland. The organisation was a joint winner with the Barton Hill Settlement in Bristol at the Awards for Bridging Cultures 2009 in the category of a voluntary organisation with a turnover above £500,000.

Interculturality

The Foundation started to explore this concept in 2007 and offered as a working definition:

Interculturality is a dynamic process whereby people from different cultures interact to learn about and question their own and each other's cultures. Over time this may lead to cultural change. It recognises the inequalities at work in society and the need to overcome these. It is a process which requires mutual respect and acknowledges human rights.

The Foundation decided to make a contribution to this process by initiating and funding awards for grassroots activity. After a competition, the Institute of Community Cohesion was appointed to run these. The awards, now called the *Awards for Bridging Cultures*, were run for a second year in 2009. There were 140 applications and shortlisted candidates were judged by a distinguished panel, chaired by the Baring Foundation trustee who inspired our interest in this area, Ranjit Sondhi.

The awards were presented at the Royal Society for the Arts on 1st December after an all day learning event looking at the experience of last year's winners and this year's shortlisted entrants.



*Aik Saath:
Community cohesion
project working in
Luton, was the winner at
the Awards for Bridging
Cultures 2009 for the
category of voluntary sector
organisations with a
turnover under
£500,000.*

Photo courtesy of the Institute of Community Cohesion

The winners were each given £10,000 with £5,000 for the first three runners up. Public or private sector winners received a trophy. Three organisations were commended in this category: Birmingham City Council, Hungry Arts Ltd and the London Borough of Southwark. Joint winners for the category of a voluntary organisation with a turnover above £500,000 were Barton Hill Settlement in Bristol and Early Years, the organisation for young people: Media Initiative for children, which works across Northern Ireland. The winner for the category of voluntary sector organisations with a turnover under £500,000 was Aik Saath: Community cohesion project working in Luton. An individual award was given to Carl Kelsall from St Peter's Youth based in Tameside.

In addition the Foundation published a critique of the first year's shortlisted applications by Malcolm James, an independent researcher, under the title of *Interculturalism: social policy and grassroots work*.

The Foundation will be funding the awards again in 2010. Details are available from the website www.bridgingcultures.org.uk.

Publications and Events

Publications

This was a bumper year for publications from the Foundation.

- *The Effective Foundation – A Literature Review* by David Cutler.

In recent years a number of authors especially in the USA but also in the UK and the rest of Europe have addressed the unique position of foundations and how this can best be applied for achieving maximum impact. Much of the key literature is considered and ten conclusions drawn. This publication is available as a free hard copy or pdf.



- *The First Principle of Voluntary Action: essays on the independence of the voluntary sector from Government in Canada, England, Germany, Northern Ireland, Scotland, USA and Wales* edited by Matthew Smerdon

The current focus of the Foundation's Strengthening the Voluntary Sector grants programme is on helping voluntary organisations to maintain their independence from government. The Baring Foundation commissioned essays from authors in seven countries to review issues relating to independence from government. Together the essays confirm that independence is fundamental to the principle and practice of voluntary action. Finally, they share lessons about what voluntary organisations can do to protect their independence. This publication is available as a pdf.



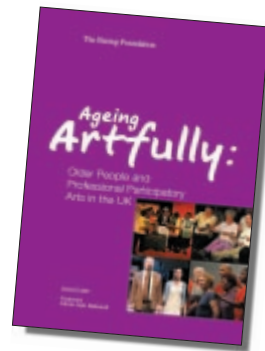
- *Interculturalism: social policy and grassroots work* by Malcolm James.

In 2008, for the first time, the Baring Foundation funded the *Awards for Bridging Cultures* run by the Institute of Community Cohesion (iCoCo). The awards are for grassroots work. This paper looks at the implications for social policy of the winning and commended applications. It builds on the author's previous (2008) paper for the Foundation *Interculturalism: Theory and Policy*. It offers a critique of the notion of interculturalism and its relationship to social cohesion policy arguing that they are often too focused on fixed notions of ethnicity and geography, denying the complexity of identity. This publication is available as a pdf.



- *Ageing Artfully: Older People and Professional Participatory Arts in the UK* by David Cutler.
Foreword by Dame Joan Bakewell, the Government's "Voice for Older People"

To accompany the core costs grants programme for arts organisations working with older people, the Foundation has published the first UK wide mapping study of this work. 120 case studies of organisations were identified and numerous examples of all art forms, especially dance, drama, music, singing and the visual arts. The report looks at the history of the movement and puts it in a policy context. The main benefits of this work, beyond artistic expression are seen as improved physical and mental health and better personal and societal relationships. The report concludes with thirteen recommendations as to how this work can be strengthened. This publication is available as a free hard copy or pdf.



- *Sitting on Chairs: Observations on Capacity Building in Developing Countries* by John Twigg.

This paper from our International Adviser draws lessons from the first twelve independent evaluations from grants that we make to UK based International NGOs (INGOs) to build the capacity of Southern partners to respond to the needs of refugees and Internally Displaced People. The report concludes with recommendations to both funders and INGOs. This publication is available as a free hard copy or pdf.



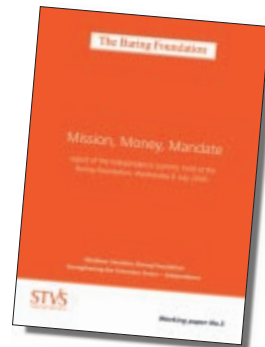
- *Rights with Meaning* by Matthew Smerdon

This report describes the 2008 round of the Strengthening the Voluntary Sector Independence programme, with its focus on supporting advice and advocacy organisations. This publication is available as a free hard copy or pdf.



- *Mission, Money, Mandate* by Matthew Smerdon

This report presents the speeches, discussion and conclusions of the Independence Summit held at the Baring Foundation in July 2009. Accompanying the report is an annex which gives the full text of all the speeches by Julia Unwin, Andrew Hind, Professor Nicholas Deakin, Sir Bert Massie, Sarah Benioff and Matthew Smerdon. This publication is available as a pdf.



Events

In addition to networking events which we held for each of our three main grants programmes we part-funded a residential seminar in February at Wilton Park on climate change and international development and fully-funded the second *Awards for Bridging Cultures* at the Royal Society for Arts in December organised by the Institute of Community Cohesion. Reports of both events are available via our website.

We held a number of events at 60 London Wall with the kind permission of ING Bank. The highlight of our year was the celebration of the 40th anniversary of the creation of the Foundation, which included three signatories to our Memorandum and Articles of Association. We also marked the completion of our highly successful Special Initiative for Parents with Learning Difficulties and their Children. The Independence Summit brought together leaders from the voluntary and public sectors including key note speakers, Sir Bert Massie, the Commissioner of the Compact, Andrew Hind, the Chief Executive of the Charity Commission, Sarah Benioff, the Deputy Director of the Office of the Third Sector and Julia Unwin, the Chief Executive of the Joseph Rowntree Foundation.

Several meetings were held to engage other funders in areas of our interest including the Environmental Funders' Network, the Association of Charitable Foundation's Issue Based Network on International Development and a meeting for funders concerned with civil legal aid.

Occasionally we can hold meetings for grantees or similar organisations and this year we hosted the fifth anniversary event for Peace Direct and two seminars on the future of large international NGOs for Bond, the international development umbrella group.

The Foundation also organised three evening receptions for people concerned with the role of the African Diaspora in development and we are exploring how we can take this issue forward in 2010.

List of Grants Awarded in 2009

Summary of Grants Agreed in 2009	Value (£)
Baring Foundation Programme Grants	1,339,512
Special Initiatives and Learning Grants	37,606
Baring Family Trustees' Fund Grants	19,000
Total	1,396,118

Arts Programme Core Costs Grants

Grantee	Geographical Area	Description	Amount (£)
Acta Community Theatre Ltd	South West	Contribution towards intercultural multi-arts programme integrating newly settled refugees and migrants from Somalia and other African states, Iran, Iraq, Eastern Europe, within the local community.	75,000
Action Factory Community Arts Ltd	North West	Salary and on costs to continue and expand cultural activities with people from Iraq, Afghanistan, Angola, Palestine, Ethiopia and other areas of world conflict.	55,494
Amber Associates	North East	Continuing co-operation with host community, asylum seekers and refugees, documenting through film and photography their long term cohabitation in Byker.	75,000
Hi8us South	London and throughout England	A youth-led creative and developmental network for young refugees and newly arrived asylum seekers principally from conflict zones in Central Asia and Africa.	9,976
Roma Support Group	London	Funding for an art development worker enabling the development of art work with Roma refugees, asylum seekers and migrants.	69,814

Grantee	Geographical Area	Description	Amount (£)
Tees Valley Arts	North East	To employ a dedicated worker as resource, reference and co-ordinator for arts activities with newly arrived people from all origins, for TVA and partner organisations.	71,962
Theatre Royal Plymouth	South West	Salaries, publicity and office costs for the refugees' and asylum seekers' theatre company, enabling the team to develop the programme beyond its current remit.	75,000
WheelWorks	Northern Ireland	To support the WheelWorks Multiple Realities Programme, which works throughout Northern Ireland with young people from asylum seeker, refugee, migrant and host communities of diverse ethnic origin.	47,754
Total of 8 grants			480,000

Arts Programme – Other Grants

Grantee	Geographical Area	Description	Amount (£)
Beyond Skin	Northern Ireland	To assist in strengthening Beyond Skin at an administrative level.	5,000
Helen Bamber Foundation	London and Salisbury	Towards the costs of a dramatic cantata.	15,000
Refugee Week UK	National	To set up and run a dedicated website and national events for arts organisations and artists working with refugees.	150,000*
* of which £50,000 was co-funded by the Arts Council			
Total of 3 grants			170,000

Joint International Development Programme Grants

In collaboration with the John Ellerman Foundation

The Baring Foundation's grant contribution is shown in the table below. The John Ellerman Foundation provides a further 50% to the grant amounts shown here.

Grantee	Description	Amount (£)
AfriKids	Capacity building of AfriKids Ghana and local partners to prevent north-south migration in Ghana, including direct resettlement and rehabilitation of displaced children.	166,050
Anti-Slavery International	To enable local partners in Mauritania to bring together different groups of displaced migrants in constructive problem solving dialogue, while also advocating their rights.	166,675
Link Community Development	To improve the standard of education affected by conflict and support the resettlement of displaced communities in Katakwi, Uganda.	165,784
St Matthew's Children's Fund Ethiopia	To develop the capacity of the displaced Negede Woitto community in Ethiopia to develop social and economic programmes and advocate for their rights.	118,096
Total of 4 grants		616,605

Other Joint International Development Programme Grants

Grantee	Description	Amount (£)
APT Enterprise Ltd	Towards the costs of preparing an application to the joint International Development Programme.	667
Bishop Simeon Trust	Towards the costs of preparing an application to the joint International Development Programme and travel costs for an African NGO member to attend the meeting.	1,333
Child-to-Child Trust	Towards the costs of preparing an application to the Joint International Development Programme and travel costs for an African NGO member to attend the meeting.	1,333
Fahamu Trust	Towards the costs of preparing an application to the Joint International Development Programme and travel costs for an African NGO member to attend the meeting.	1,333
FARM Africa	Towards the costs of preparing an application to the Joint International Development Programme and travel costs for an African NGO member to attend the meeting.	1,333
Skills for Southern Sudan	To extend the work of strengthening the community based organisations in Lakes States to take positive action in improving girls' access and attainment in basic education.	36,274
Women's Legal Aid Centre Tanzania	Contribution towards the work undertaken for the Baring Foundation's visit to see projects in Tanzania.	634
Total of 7 grants		42,907

Strengthening the Voluntary Sector – Independence Programme Grant

Grantee	Geographical Area Served	Description	Amount (£)
AdviceUK	Nottingham	Involving frontline advice organisations, to apply a systems-thinking approach to advice services in Nottingham to bring about significant improvements in the effectiveness of advice delivery.	30,000
Total of 1 grant			30,000

Special Initiatives and Learning Grants:

Climate Change and the Third Sector

Grantee	Description	Amount (£)
Green Alliance	To produce a scoping paper on establishing a climate action team to encourage greater Third Sector action on climate change.	2,500
National Council for Voluntary Organisations (NCVO)	To support the production of a film documenting the work by NCVO and its partners with non-environmental voluntary organisations funded under the Baring Foundation's climate change Special Initiative.	5,226
Total of 2 grants		7,726

Creating a Better Deal for Parents with Learning Difficulties and their Children

Grantee	Description	Amount (£)
Norah Fry Research Centre	Towards a website that provides a specialist online community that shares information and research and allows for some co-ordination in response to government and other consultations and including annual meetings of the taskforce of interested parties.	25,000
Total of 1 grant		25,000

Learning Grants

Grantee	Description	Amount (£)
Legal Aid Practitioners Group	To cover the costs of a meeting of the All Party Parliamentary Group on Legal Aid and set up a website.	1,500
Toynbee Hall	To support the salary costs of the Research and Evaluation Manager, on secondment to the Baring Foundation.	3,380
Total of 2 grants		4,880

Baring Family Trustees' Fund

Grantee	Description	Amount (£)
Cusichaca Trust	Towards the completion and collation of research into the traditional agricultural systems in the Chicha-Soras and Sondondo River basins.	5,000
Oxfordshire Community Foundation	Towards building the endowment of the Oxford Community Foundation.	10,000
Rethink	Contribution towards running costs.	4,000
Total of 3 grants		19,000

Financial Summary

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

Statement of financial activities (incorporating an income and expenditure account) For the year ended 31 December 2009

	Restricted £	Unrestricted £	2009 Total £	2008 Total £
Incoming resources				
<i>Incoming resources from generated funds</i>				
Voluntary income				
Donations	11,250	500	11,750	25,008
Donated services	-	168,943	168,943	135,723
Investment income	-	994,008	994,008	1,505,629
Total incoming resources	11,250	1,163,451	1,174,701	1,666,360
Resources expended				
<i>Costs of generating funds</i>				
Investment management	-	180,372	180,372	164,007
<i>Charitable activities</i>				
Strengthening the Voluntary Sector	-	186,871	186,871	1,330,799
Arts programme	11,250	730,457	741,707	656,821
International programme	-	689,572	689,572	698,014
Special Initiatives	-	99,788	99,788	507,504
Other work	-	148,318	148,318	196,187
<i>Governance</i>	-	30,677	30,677	29,409
Total resources expended	11,250	2,066,055	2,077,305	3,582,741
Net outgoing resources before revaluations and investment asset disposals	-	(902,604)	(902,604)	(1,916,381)
Net gain/(loss) on investments	-	8,988,968	8,988,968	(14,330,962)
Net movement in funds	-	8,086,968	8,086,968	(16,247,343)
Funds at the start of the year	-	51,300,140	51,300,140	67,547,483
Funds at the end of the year	-	59,386,504	59,386,504	51,300,140

All of the above results are derived from continuing activities. There were no other recognised gains or losses other than those stated above.

The statement of financial activities includes the income generated by the assets held in the investment portfolio and the net gains or losses on those investments. However, the Baring Foundation operates a total return policy on investments and the figures in the statement of financial activities do not reflect the distributions from the portfolio to fund the activities of the Foundation.

Statement from the Trustees of the Baring Foundation

These summarised financial statements contain information from both the Statement of Financial Activities and the Balance Sheet for the year ended 31 December 2009, but are not the full statutory Report and Accounts. They may not contain sufficient information to allow a full understanding of the financial affairs of the Foundation. The full financial statements were approved by the Council on 28 June 2010 and subsequently submitted to the Charity Commission and Companies House. The auditor has issued an unmodified report on the full financial statements and on the consistency of the trustees' annual report with those financial statements.

Copies may be obtained from the Foundation's registered office at 60 London Wall, London, EC2M 5TQ.

Signed on behalf of the Trustees

Amanda Jordan, *Chair*
Geoffrey Barnett, *Treasurer*

28 June 2010

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

Balance Sheet as at 31 December 2009

	£	2009 £	2008 £
Fixed assets			
Investments		60,255,332	53,290,651
Current assets			
Debtors	44,871		36,943
Cash at bank and in hand	1,242,647		1,113,023
	<u>1,287,518</u>		<u>1,149,966</u>
Liabilities			
Creditors: amounts due within 1 year	1,442,467		2,167,800
Net current liabilities		<u>(154,949)</u>	<u>(1,017,834)</u>
Total assets less current liabilities		60,100,383	52,272,817
Creditors: amounts due after more than 1 year		<u>713,879</u>	<u>972,677</u>
Net assets		<u>59,386,504</u>	<u>51,300,140</u>
Funds			
Unrestricted funds			
Revaluation fund		7,729,194	(1,871,360)
General funds		<u>51,657,310</u>	<u>53,171,500</u>
Total funds		<u>59,386,504</u>	<u>51,300,140</u>

Independent auditor's statement to the trustees of Baring Foundation

We have examined the summary financial statement for the year ended 31 December 2009, which comprises the statement of financial activities and the Balance Sheet.

Respective responsibilities of trustees and the auditor

The trustees are responsible for preparing the summary financial statement in accordance with applicable United Kingdom law and the recommendations of the charities SORP.

Our responsibility is to report to you our opinion on the consistency of the summary financial statement within the financial summary and with the full annual financial statements and the Trustees' Annual Report, and its compliance with the relevant requirements of section 427 of the Companies Act 2006 and the regulations made thereunder.

We also read the other information contained in the Report on Activities and consider the implications for our report if we become aware of any apparent misstatements or material inconsistencies with the summary financial statement.

Basis of opinion

We conducted our work in accordance with Bulletin 2008/3 issued by the Auditing Practices Board. Our report on the charity's/charitable company's full annual financial statements describes the basis of our opinion on those financial statements and on the Trustees' Annual Report.

Opinion

In our opinion the summary financial statement is consistent with the full annual financial statements and the Trustees' Annual Report of the Baring Foundation for the year ended 31 December 2009 and complies with the applicable requirements of section 427 of the Companies Act 2006, and the regulations made thereunder.

We have not considered the effects of any events between the date on which we signed our report on the full annual financial statements on 28 June 2010 and the date of this statement.

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Paper used is chlorine free and is taken from environmentally sustainable forests. Vegetable oil based inks are used by our printers instead of solvents which can damage the environment. All inks are disposed of using environmentally sound techniques.