

# The Baring Foundation

*Report on Activities*

2008

# The Baring Foundation – People

## **Council of Management**

Tessa Baring C.B.E. *Chair, retired 2nd June 2008*

Mark Baring *Chair, Investment Committee*

Geoffrey Barnett O.B.E. *Treasurer*

Professor Ann Buchanan

Professor Nicholas Deakin C.B.E. *Vice Chair; Chair, Strengthening the Voluntary Sector Committee*

Katherine Garrett-Cox

Amanda Jordan O.B.E. *Chair, from 2nd June 2008*

Janet Lewis-Jones

Janet Morrison

Jim Peers *Chair, Arts Committee*

Ranjit Sondhi C.B.E.

Christopher Steane *Chair, Audit Committee*

Professor Myles Wickstead C.B.E. *Chair, Joint International Development Committee*

## **Office**

Barbara Allerhand *Finance and IT Officer*

Ann Blyth-Tancock *Administration Officer*

Valerie Cadoret *Administration Officer*

David Cutler *Director*

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## HUMAN RIGHTS OFFICE

Photo courtesy of Minority Rights Group International

*Our grant of  
£166,675  
is supporting Minority  
Rights Group International  
to develop appropriate  
remedies to address the  
forced migration of the  
Enderois community in  
Kenya.*

# Purpose and Values

## Our Purpose

is to improve the quality of life of people suffering disadvantage and discrimination.

We aim to achieve this through making grants to strengthen voluntary sector organisations which serve them directly or indirectly and by the added value we bring to this.

## Our Values

We:

- 1** believe in the fundamental value to society of an independent and effective voluntary sector;
- 2** use our funds to strengthen voluntary sector organisations, responding flexibly, creatively and pragmatically to their needs and with a determination to achieve value for money;
- 3** put high value on learning from organisations and their beneficiaries. We seek to add value to our grants by encouraging the communication of knowledge through a variety of means, including influencing others;
- 4** seek to build positive, purposeful relationships with grant recipients, as well as with other grant makers;
- 5** aim to treat grant-seekers and recipients with courtesy and respect, being as accessible as possible within clear programme guidelines and maintaining consistently high standards of administrative efficiency.



## Chair's Statement

Reading this report reminds me of the many reasons why I was delighted to become a trustee and then to become the Chair of the Foundation almost a year ago. For the work of the Foundation is both rich and varied from our grants programmes through to our Special Initiatives, networking events and publications. This year has been particularly busy with some important new initiatives. The first Awards for Bridging Cultures were held with the Institute for Community Cohesion as the main focus for a Special Initiative on Interculturality. Another exciting development was to make grants to non-environmental organisations to consider the impact of climate change on their work. There is a full report of these initiatives and our grant programmes in the following pages.

I took on the role in June 2008, mindful that I was following Tessa Baring someone for whom I have great personal admiration and who is, for many, synonymous with the work of the Foundation. Tessa has set a high standard to live up to as she combines a sharp intellect with a real sense of humanity and above all she has created an ethos that is both fun and fulfilling for all those involved. The Foundation owes her a great debt for her enormous contribution as a trustee, notably for her three years in the Chair when she led an important review of our work. I hope very much that she will continue to play a role as a critical friend of the Foundation as I value her counsel.

I am grateful for the continuing commitment that all of the trustees give to the work of the Foundation. Not only do our trustees contribute to the strategic direction of the Foundation through membership of the Council, they also play an active part in grant



giving, visit projects and attending many of our events. They too are the instigators of our Special Initiatives which have become an important part of our programme. Of course none of our thinking would be put into practice without the hard work of our excellent team, including our advisers, led by David Cutler. It is a treat to meet and work with people who are as committed to their work as they undoubtedly are. Both David and the Deputy Director Matthew Smerdon ensure that the Foundation is responsive to the needs of the voluntary sector in every aspect of our work. They rise to every challenge that we set and in turn keep us on our toes with their knowledge and enthusiasm. This report is a tribute to their work.

We are fortunate to enjoy the continuing support of ING who not only house for free the Foundation in their UK offices on London Wall in the City but also provide invaluable administrative support which is of great benefit to a small organisation like ours.

The effects of the recession and the current challenging environment will continue into 2009 and beyond. I am conscious that many parts of the voluntary sector will find that their services are in ever greater demand at a time when funders will find themselves constrained by reduced budgets. We will continue to play our part in promoting intelligent debate and practical action in improving the quality of life for those who suffer disadvantage and supporting the voluntary sector in doing this.

Amanda Jordan

***The Trustees  
and staff of the Baring  
Foundation, June 2008.***

*Back row (from left to right):*

*Ranjit Sondhi, Janet Lewis-Jones,  
Jim Peers, Nicholas Deakin,  
Myles Wickstead, Ann Buchanan,  
Matthew Smerdon, Mark Baring,  
Geoffrey Barnett.*

*Front row (from left to right):*

*Barbara Allerhand, David Cutler,  
Janet Morrison, Terry Skelhorn,  
Tessa Baring, Amanda Jordan,  
Katherine Garrett-Cox, Christopher  
Steane, Phyllida Shaw,  
Ann Blyth-Tancock.*







Photo courtesy of Tim Glass, John Ellerman Foundation

*David Cutler visited Trust for Africa's Orphans' project in Uganda in November 2008. The project was awarded a Joint International Development Programme grant of £147,000 in 2007 to assist widows and orphans in Uganda in securing their rights to their husbands' and fathers' land.*



## Director's Report

Our overall approach remained to give large, long-term grants which support an organisation to become more effective. Two of the Foundation's three main grant programmes ran unaltered this year. The Arts programme for a fifth year supported arts organisations working with refugees and asylum seekers. The Joint International Development programme, with our partner the John Ellerman Foundation, funded capacity building civil society in Sub-Saharan Africa to address needs arising from forced migration. The Strengthening the Voluntary Sector programme continued to focus on the independence of the voluntary sector from government but we decided to target an area of particular pressure – advice and advocacy services.

In addition to grants programmes, the Foundation runs three smaller Special Initiatives. The first of these, looking at the needs of parents with learning difficulties and their children, has progressed to a remarkable extent and was renewed for a final two years. The Foundation's attention to interculturality has found expression in the first Awards for Bridging Cultures at the grassroots level. These were organised by the Institute for Community Cohesion. Lastly we have given grants to four consortia which will run exercises in helping non-environmental UK voluntary organisations consider the impact of climate change on their primary charitable purpose.

All of this work is accompanied by a series of events and publications and is described in more detail in this report.

Since 2000 we have followed a total return strategy both for the management of our investment fund and for arriving at the amount available to fund grants and to meet our expenses. This amount includes both income arising from our portfolio and an element of long-term capital growth and has been based on a percentage (4.5%) of the total investment averaged over the preceding three years. This policy continued but came under great pressure in the latter part of the year and may have to be revised in 2009 given unprecedented market conditions.

The Foundation's Deputy Director attended the Foundation Endowment and Asset Management course at London Business School in June 2008. Course places were kindly subsidised by Schroder's Charities, Charities Aid Foundation, The Atlantic Philanthropies, The Nuffield Foundation and Watson Wyatt Ltd.

Once more we enjoyed the use of free space within the City of London offices of ING Bank and to benefit from their administrative support in a number of ways. We are very conscious of this assistance, which extends our ability to make grants. Our grateful thanks go to John Howland Jackson, CEO UK and Ireland ING, for his personal interest and to all other members of the bank staff who helped us in the year. In 2009, John will move on from his role in the UK to new responsibilities and we wish him well.

I continued to have the privilege of serving as one of four Non Executive Directors of the Commission for the Compact which oversees the relationship between the third sector and the government. It was a year of rapid progress for the Commission which initiated a debate about its role and powers. I came to the end of a three year term of office as a trustee of the Association of Charitable Foundations and stood down in March.

The Baring Foundation is entirely a team effort. My thanks once again go to all the trustees, staff and advisers who contribute in different ways but combine to great effect. In particular I would like to express my deep personal thanks to Tessa Baring whom I had the great pleasure of serving as Chair for three years. Under her exceptional leadership the Foundation was renewed in a way which will be long remembered.

David Cutler

Baring Foundation Grants – Key Facts		
Programme	Number	Value (£)
Strengthening the Voluntary Sector - Independence Programme	7	1,198,820
Joint International Development Programme	5	629,036
Other Joint International Programme Funding	4	7,001
Arts Programme	10	597,945
<i>Special Initiatives:</i>		
Climate Change	4	280,190
Interculturality	1	150,000
Contingency Funding	3	16,000
Baring Family Trustees' Fund	3	11,000
<b>Total</b>	<b>37</b>	<b>2,889,992</b>



# Arts Programme

The overall aim of the programme is to support the provision of opportunities to participate in the arts in educational and community settings especially for individuals or groups whose access to arts activity is limited. The theme of the programme for the fifth year running was arts organisations working with refugees and asylum seekers. Core costs grants were given on a sliding scale, depending on the income of the grantee, after an open application process covering the UK. The two stage process resulted in grants to:

- Artsdepot
- BandBazi
- Bridge and Tunnel Voices
- Brouhaha International
- Charnwood Arts
- City Arts (Nottingham) Ltd
- Craftspace
- Ice and Fire
- North East Theatre – Live Theatre
- Sound It Out Community Music Ltd

*Our grant of £75,000 is supporting Brouhaha International's arts development activities through outreach arts and family learning programmes.*



Photo courtesy of Brouhaha International

We again laid great emphasis on maximising the value of our grants to these organisations through networking and research.

For the third year we brought together all the organisations that we are currently funding. This time the day long event was held in Birmingham at The Drum, the national centre for Black British Arts and Culture. Trustees attended the event which included showcases of work by the organisations involved as well as generally applicable issues such as ethical practice.

A major event for the programme was the publication of *Arts and Refugees: History, Impact and Future*. This research by Hybrid consultancy was commissioned by ourselves in addition to two major funders in this field, the Arts Council England, London and the Paul Hamlyn Foundation. The report was launched at the Baring Foundation in May and has been widely disseminated since then. It revealed a considerable expansion of this activity with around 200 organisations, as well as its many benefits such as intercultural dialogue, the development of language skills and self confidence, professional artistic development and community integration. The report concludes with a number of recommendations and is available free from the Foundation.

The Foundation has decided with reluctance to move on from this theme, making final grants in June 2009. Trustees have put aside money to commission a dedicated website for the arts and refugees and annual conferences from 2010, dependent on securing funding from other sources.

The new theme for the Arts Programme will be announced in September 2009.

#### Arts Programme Grants – Key Facts

	2008	2007	2006
Number of applications	46	49	57
Number of eligible applications	36	41	53
Number of grants made	10	8	8
Success rate of eligible applications	28%	20%	15%
Total value of grants	£597,945	£525,000	£526,373
Largest grant	£75,000	£75,000	£75,000
Smallest grant	£45,000	£42,000	£45,000
Average grant	£59,795	£65,625	£65,796

#### Arts Committee

Jim Peers <i>Chair</i>	<i>Staff Lead</i>
Tessa Baring ( <i>until June</i> )	David Cutler
Mark Baring	
Janet Lewis-Jones	<i>Adviser</i>
Ranjit Sondhi	Kate Organ



# Joint International Development Programme

The purpose of the programme is to improve the effectiveness of Non Governmental Organisations (NGOs) and Community Based Organisations (CBOs) in Sub-Saharan Africa to address the problems arising from the long-term forced migration and displacement of people. Grants are offered of up to £250,000 for up to five years to UK registered international NGOs working with partners in the affected countries. We are increasingly keen to ensure that these partnerships are productive and well understood.

The programme is run in collaboration with the John Ellerman Foundation. All decisions are taken together and a Memorandum of Understanding governs the joint venture between the two foundations. For the first time the funding on offer this year rose to a total of £1 million (£666,000 from the Baring Foundation with the balance from the John Ellerman Foundation).

The number of eligible applications this year was 30. Four full grants were offered. Akina Mama wa Afrika will be offering training and resources for female leaders on migration throughout most parts of Africa and many of these women themselves will have suffered displacement. Camfed will be supporting the education of girls in Zimbabwe and help them gain employment. The Minority Rights Group International will be capacity building the Endorois people in a test case against the Kenyan Government coming to the Commission of the African Union. Peace Direct will be working on peace building near the troubled Kivu region of the Democratic Republic of the Congo. We were also able to give Send a Cow a partial grant to improve its database system.

In a review held in early 2007, it was suggested that we put yet more effort into learning from the grants we make. Therefore, not only do we require independent evaluations of all grants and bring all UK INGOs that we fund together once a year, but we have begun to hold inception meetings with new grantees with our adviser Tina Wallace to plan monitoring and evaluation.

Considerable effort is now put into undertaking annual field trips to the work that we fund. This year, Dominic Caldecott, John Ellerman Foundation trustee, David Cutler, Baring Foundation Director, Tim Glass, John Ellerman Foundation Director and Tina Wallace, adviser to the Baring Foundation, travelled to Uganda for a week in November. We visited Akina Mama wa Afrika and the Minority Rights Group in Kampala and looked at the work of the Trust for Africa's Orphans (UWESO UK Trust) and the Motivation Charitable Trust with their partners working in the North of Uganda where civil war has come to an end with the Lord's Resistance Army. We are very grateful to UWESO UK Trust for their help in organising this trip.

Beyond our own programme we seek to support the involvement of independent funders in international development. This took three forms this year. David Cutler continued to be co-convenor of the relevant interest group of the Association of Charitable Foundations and organised several seminars including one with Shahid Malik (then a Department for International Development Minister) and one on the involvement of diasporas in development. We hosted a small meeting for individual funders with New Philanthropy

Capital who had undertaken the research for the report *Going Global: a review of international development funding by UK trusts and foundations* that we co-sponsored last year. Finally we initiated and part-sponsored a major residential conference on the role of private funding at Wilton Park Residential Centre in April (a full report on this is available on our website). We intend to follow this up with a further conference there in February 2009 on international development and climate change.



Photo courtesy of Peace Direct

Our grant of £115,247 is supporting Peace Direct to work with their local partner Centre Resolution Conflicts (CRC). This photo shows CRC's worker, Henri, working with Mai Mai leaders to secure the release of child soldiers.



Joint International Development Programme Grants – Key Facts			
Baring Foundation contribution only	2008	2007	2006
Number of applications	36	41	62
Number of eligible applications	30	30	53
Number of grants	5	4	4
Success rate of eligible applications	16%	13%	7%
Total value of grants	£629,036	£525,000	£523,600
Largest grant	£166,675	£175,000	£176,711
Smallest grant	£39,374	£52,500	£56,000
Average grant	£125,807	£131,250	£130,800

## Joint International Development Committee

### Baring Foundation

Myles Wickstead *Chair*

Tessa Baring *(until June)*

Geoffrey Barnett

Amanda Jordan *(from June)*

### John Ellerman Foundation

Sarah Riddell

Dominic Caldecott

### Staff Lead

David Cutler *Director*

### Staff Lead

Tim Glass *Director*

### Advisers

John Twigg

Tina Wallace





# Strengthening the Voluntary Sector Programme

Since its launch in 1996, the Strengthening the Voluntary Sector (STVS) programme has first and foremost been a source of funds for practical organisational development. In 2006, we aimed to preserve this function but, at the same time, sought to use the programme to pursue a more strategic aim, namely to explore ways for the voluntary sector to work with government at the same time as maintaining its independence. We defined independence as the freedom of voluntary organisations to uphold their purpose and values, to negotiate with funders without fear of sanction and to challenge.

In 2006, we made grants to organisations from across the sector. These grants cover a range of work, from an umbrella organisation developing a quality assurance system that builds independence into the structure and operations of organisations, to a local community centre carrying out a programme of management and staff development that has increased confidence across the organisation and helped to change for the better its relationship with the local authority. We plan to produce case studies of the 2006 grants in 2010.

In 2008, rather than continue with a broad reach across the sector, we focused the programme on supporting advice and advocacy organisations in a small number of areas in England. The narrowing of focus and geography partly reflected a desire to increase the success rate of applicants following the very large numbers of applications in 2006. Trustees also wanted to focus on issues being faced at the local level. Finally, we chose advice and advocacy organisations because we felt that independence in this area of voluntary action is of particular importance and yet faces particular current challenges. Advice and advocacy organisations exist to help people secure their legal rights and there are therefore significant dilemmas when the work of these organisations is overly directed by government. We looked at the ways that funding practice at central and local government level was affecting the ability of organisations to provide good quality, independent advice and advocacy as well as government plans for re-organising advice services. The implication of these developments was that government action was undermining the potential for voluntary organisations to help their beneficiaries to secure their legal rights. This strikes right at the core of why voluntary organisations need to be independent of public control.

At the end of 2008, we made seven grants totalling £1,198,820 to organisations at local and national levels. As with the 2006 round of grants, we will bring these organisations together in a network and carry out a range of additional activities to draw out lessons to share with practitioners and policy makers.

In addition to grant making, we have carried out a number of other activities.

We have funded research by the Child Poverty Action Group on the implications for welfare benefit claimants of advice and advocacy organisations delivering public services. The first report, called *Contracting out employment services: lessons from Australia, Denmark, Germany and the Netherlands* was published in December and two further reports are due in 2009. Further information is available from their website [www.cpag.org.uk](http://www.cpag.org.uk).

Seven essays have been commissioned on the independence of the voluntary sector from government in a range of countries. The report is called *The First Principle of Voluntary Action: Essays on the independence of the voluntary sector from government in Canada, England, Germany, Northern Ireland, Scotland, United States of America and Wales* and will be published in 2009.

In November, in collaboration with the National Council of Voluntary Organisations, we published *Standing Apart, Working Together: a new self assessment tool for the independence of voluntary organisations*. This drew together some of the lessons from the programme so far concerning ways voluntary organisations can reflect on independence and take action at all levels to ensure that it remains at the heart of the organisation.

We worked with the City Parochial Foundation to hold a seminar for trusts and foundations on funding campaigning and policy work.

Finally, this year we published an independent review of STVS project grants made from 1996 to 2005 carried out by Margaret Bolton. The report, called *Strengthening the Hands of Those Who Do: A review of a decade of projects awarded under the Baring Foundation's Strengthening the Voluntary Sector Programme*, looks at the impact of the funding and draws some lessons about how funding for organisational development can be as effective as possible.



Photo courtesy of Coventry Law Centre

Our  
Strengthening the  
Voluntary Sector grant of  
£191,113 is supporting a  
two year programme of  
activities to strengthen the  
individual partners of Advice  
Services Coventry (ASC)  
and the partnership as a  
whole.

Strengthening the Voluntary Sector - Independence Programme Grants – Key Facts			
	2008	2007*	2006
Number of applications	84	0	600
Number of eligible applications	72	0	518
Number of grants	7	0	22
Success rate of eligible applications	9.7%	0	4.3%
Total value of grants	£1,198,820	0	£1,809,162
Largest grant	£200,000	0	£100,000
Smallest grant	£35,591	0	£28,806
Average grant	£171,260	0	£82,235

\*Grants were not awarded under the STVS independence programme in 2007.

In 2006 the Trustees used the budget allocation for 2006 and 2007 due to the high number and quality of applications received in 2006.

### Strengthening the Voluntary Sector Committee

Nicholas Deakin <i>Chair</i>	<i>Staff Lead</i>
Tessa Baring <i>(until June)</i>	Matthew Smerdon
Ann Buchanan	
Amanda Jordan <i>(until June)</i>	
Katherine Garrett-Cox	
Janet Morrison <i>(from June)</i>	
Christopher Steane	





Photo courtesy of ICoCo

*Representatives of  
The St Philip's Centre,  
Leicester. The organisation  
was commended at the Awards  
for Bridging Cultures 2008  
in the category of voluntary  
or community sector  
organisation with annual  
income of under  
£1 million.*

# Special Initiatives

## Climate Change and the Third Sector

We began work in this area in 2007 by offering former grant recipients free environmental audits. The audits, provided by Global Action Plan, Element Energy and the Ethical Property Foundation, led to some organisations easily and cheaply reducing their carbon emissions, in some cases by significant amounts. We also saw, however, that reducing the environmental impact of voluntary organisations was relevant but not the whole story in work to tackle and adapt to climate change. We saw that some voluntary organisations remained unconvinced that climate change was really much of an issue for them when set against the immediate and urgent needs of their beneficiaries and, therefore, they saw no role for themselves in taking action on climate change.

Helped further by two roundtable meetings held in April, we saw that in order to achieve the sort of urgent response that is needed for tackling climate change, the whole voluntary sector needs to play its part, not just the environmental voluntary sector. We decided that it is necessary to generate a wider understanding of the ways in which climate change connects to issues such as poverty, migration, young people, health and community development firstly to widen the coalition of interests putting pressure on government to act and, secondly, to help voluntary organisations to adapt to the likely impacts of climate change.

In July, we invited applications for work with non-environmental voluntary organisations to help them to explore the impacts of climate change on their organisations and their beneficiaries. We initially planned to fund one piece of work, but the quality of the shortlist meant that in September, we made grants totalling £280,190 to four groups of organisations to work with non-environmental voluntary organisations from different parts of the sector.

- Climate Outreach and Information Network (COIN) is working with refugee organisations;
- Community Development Foundation, New Economics Foundation and Groundwork are working with ‘community anchor organisations’ (i.e. local, multi-purpose community organisations);
- National Children’s Bureau and the Institute for Development Studies are working with children and youth organisations;
- National Council for Voluntary Organisations, Global Action Plan and Green Alliance are working with organisations that support vulnerable communities.

The results, due at the end of 2009, will be shared with practitioners and policy makers.

At the end of the year, the Foundation supported the publication of *The New Politics of Climate Change* by Stephen Hale, Director of Green Alliance. The argument presented in the pamphlet and our discussions with Stephen since 2007 have had an important influence on our work.

The Foundation continues to be a regular attendee at the excellent Environmental Funders Network meetings. See [www.greenfunders.org](http://www.greenfunders.org).

*Baring  
Foundation Trustee,  
and ABC Judging  
Panel Chair, Ranjit  
Sondhi, speaking at the  
Awards for Bridging  
Cultures event on  
26th November  
2008.*



Photo courtesy of ICoCo



## Creating a Better Deal for Parents with Learning Difficulties and their Children

The Foundation began to explore this issue in 2005 at the behest of the then Chair, Tessa Baring. We first commissioned the Norah Fry Research Centre (NFRC) at the University of Bristol to produce an overview report called *Finding the Right Support? A review of issues and positive practice in supporting parents with learning difficulties and their children*. This stated that perhaps half the children of parents with learning difficulties are taken into care which has poor outcomes for the children, causes great distress for their parents and incurs enormous cost for the taxpayer. This very high proportion of children could be reduced by offering appropriate support, which already happens in a few places.

In order to follow this report up we funded a consortium led by NFRC, composed of CHANGE, a national advocacy group with a special focus on parents, the Scottish Consortium for Learning Disability and Family Action, as well as several individual experts. Funding has been provided for a total of three and a half years which includes generous grants of £40,000 from the Esmée Fairbairn Foundation.

2008 has been an active and successful year for the consortium at different levels. It has been involved in a whole series of policy reviews in England. The consortium has been in contact with the Social Care Institute for Excellence, the Commission for Social Care Inspection and the Association of Directors of Children's Family Services. The process has begun of drawing up two guides to commissioning services for parents with learning difficulties, one for children's services and a separate one for adult services.

Particularly exciting has been an increased emphasis on work in Scotland and in Wales. A number of presentations have been made in Scotland and a separate report published entitled *Fair Deal for Families? Learning from the experience of parents with a learning disability*, available from [www.sclld.org.uk](http://www.sclld.org.uk). In Wales a 'Legislative Competence Order' is working its way through the National Assembly as well as the Houses of Parliament on the topic of vulnerable children. This would grant extensive powers to the National Assembly and to the Welsh Assembly Government to pass legislation in this area.

The second focus of attention remains support for practitioners. Around 500 practitioners from a range of disciplines receive email updates from NFRC which has also created a dedicated website [www.findingtherightsupport.org.uk](http://www.findingtherightsupport.org.uk). Three free regional events in Exeter, Bristol and Birmingham were all well attended. These different vehicles promote mutual support and learning.

Important work continues directly with the parents themselves. CHANGE is building up a network of local parents groups. As well as providing expertise on all the areas which the consortium is involved with on policy development, CHANGE has carried out a number of presentations to varying audiences from five Sure Start Centres to the Ministry of Justice.

## Interculturality

The Foundation started to explore this concept in 2007 and began by offering a working definition:

*Interculturality is a dynamic process whereby people from different cultures interact to learn about and question their own and each other's cultures. Over time this may lead to cultural change. It recognises the inequalities at work in society and the need to overcome these. It is a process which requires mutual respect and acknowledges human rights.*



Our thinking on this subject was greatly helped by a paper from Malcolm James called *Interculturalism: Theory and Policy* published early in 2008 and available free from the Foundation.

After a competition we awarded a tender to the Institute of Community Cohesion (iCoCo) to run awards for activity at grassroots level in the UK, dubbed the Awards for Bridging Cultures (ABCs). A dedicated website was set up [www.bridgingcultures.org.uk](http://www.bridgingcultures.org.uk). Over 4,000 application packs were sent out and a telephone hotline offered to potential applicants. We were astounded by the level of interest in the scheme's first year which resulted in 237 applications, though only a handful were from outside England.

A distinguished judging panel chaired by Ranjit Sondhi, a trustee of the Baring Foundation, made the decisions on the awards which were presented in central London at the Royal Society of Arts on 26th November.

The winners of awards for the voluntary sector were each given £10,000 with £5,000 each for the first three runners up. Private or public sector winners received a trophy. The winners in the three categories were: Foleshillfields Vision Ltd (voluntary organisation with an income under £1million per year); Shoreditch Trust (voluntary organisation with an income over £1 million per year); Limeside and Clarkwell Linking Project (public and private sector). The judges also made a special award to Rajah Miah, the Chief Executive of PeaceMaker in recognition of his outstanding contribution to the field.

A very important aspect of this whole process was a day-long free learning event which preceded the awards which was open to anyone who had applied for the awards. Research will now be undertaken looking at the 60 longlisted applications.

Given the great success of the initial year it has been decided to fund the Institute of Community Cohesion to run the Awards for Bridging Cultures again in 2009.

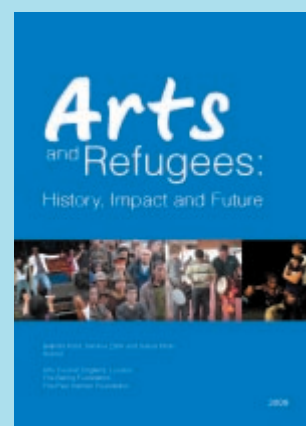
# Publications and Events

## Publications

Three reports were printed in 2008:

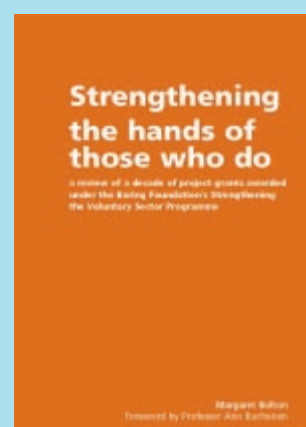
- *Arts and Refugees: History, Impact and Future* by Belinda Kidd, Samina Zahir and Sabra Khan.

The report was commissioned jointly with two other funders, the Arts Council England, London and the Paul Hamlyn Foundation. It identified around 200 arts organisations in the UK working with refugees and asylum seekers in the UK. The work has many benefits for those involved and has expanded rapidly in the last decade perhaps in response to the Government's dispersal policy and negative coverage in the media. It concluded with a series of recommendations that we are considering.



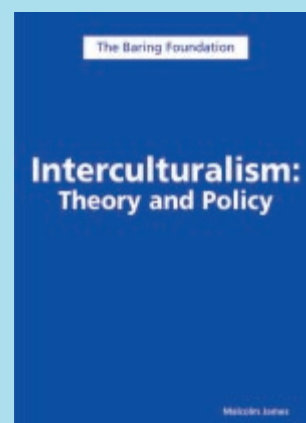
- *Strengthening the Hands of Those Who Do: A review of a decade of projects awarded under the Baring Foundation's Strengthening the Voluntary Sector Programme* by Margaret Bolton.

The review of a random sample of 50 grants showed that even small grants for organisational development can have a lasting effect on an organisation's effectiveness.



- *Interculturalism: Theory and Policy* by Malcolm James.

This looked at the intellectual underpinnings of the grassroots activity which we showcased through the Awards for Bridging Cultures made in November.



In addition Matthew Smerdon wrote a checklist to help voluntary and community organisations reflect on their independence under the title of *Standing Apart, Working Together* which was published in association with the National Council for Voluntary Organisations.

The Foundation both commented on and gave a grant towards the publication of *The New Politics of Climate Change: why we are failing and how we will succeed* by Stephen Hale, the Director of Green Alliance, which argues for the non-environmental third sector taking a role in advocating tackling climate change.

We were pleased to see the publication, with our support, of *Fair Deal for Families? Learning from the experience of parents with a learning disability* by the Scottish Consortium for Learning Disability. The publication is available to download from [www.sclld.org.uk](http://www.sclld.org.uk).

A number of publications are under way for 2009 including, among others: *The First Principle of Voluntary Action*, essays on the independence of the voluntary sector in the four countries of the UK as well as in the USA, Canada and Germany; an overview of arts organisations working with older people in UK; and a literature review on foundations' effectiveness *The Effective Foundation – A Literature Review*.

All our publications are free while stocks last and can be downloaded in full from our website.

## Events

We have, since the start of the decade, invited organisations to which we have given a major grant to meet together to discuss issues of generic interest. We sometimes call these gatherings the 'Core Costs Club'. The meetings bring into a sharp focus the challenges faced and keep us in better touch with each other. This year we looked at the effect of commissioning and contracts on the third sector, particularly on its independence.

As well as these inclusive meetings, the Foundation also brings together as networks the organisations that we are funding under each of our three grants programmes.

In addition to meetings at the Foundation, we initiated and part funded a major conference at Wilton Park (the residential centre for the Foreign Office) in April on the involvement of private funders in international development. We went on to sponsor a conference on the relationship between international development and climate change for private funders to be held there in February 2009.

In November, we worked with City Parochial to hold a seminar for trusts and foundations on funding campaigning and policy work.

Notes of most of these meetings can be found on our website.

# List of Grants Awarded in 2008

Summary of grants agreed in 2008	Value (£)
Baring Foundation programme grants	2,432,802
Contingency funding and Special Initiatives:	
Climate Change and Interculturality	446,190
Baring Family Trustees' Fund grants	11,000
<b>Total</b>	<b>2,889,992</b>

See below for details of these grants

## Arts Programme Core Costs Grants

Grantee	Geographical Area	Description	Amount (£)
Artsdepot	London	Expanding creative activities for Roots and Wings, Artsdepot's refugee programme. Refugees from Africa, Middle East and China are empowered to integrate whilst celebrating cultural heritage.	45,000
BandBazi	South East	For a Community Development Officer to develop work with refugees and asylum seekers from Iran, Kurdistan, the Yemen, the Congo, Nigeria, the Sudan and Rwanda.	45,000
Bridge and Tunnel Voices	North East	Towards core costs. Programme participants are from Uganda, Iran, Congo, Bangladesh, Somalia, Zimbabwe, Afghanistan, Sri Lanka, Uzbekistan, Lebanon, Iraq, Turkey, and Yemen.	45,000
Brouhaha International	North West	Arts development activities for refugee and asylum seekers from Africa, Eastern Europe, Middle East and Asia through outreach arts and family learning programmes.	75,000
Charnwood Arts	East Midlands	An arts and media based creative education programme addressing issues faced by young, often unaccompanied, new arrivals largely from Iraq, Kosovo, Afghanistan, Albania and Somalia.	75,000



Grantee	Geographical Area	Description	Amount (£)
City Arts (Nottingham) Ltd	East Midlands	Salaries and infrastructure support to sustain work with new and emerging communities from Iran, Democratic Republic of the Congo, Zimbabwe, Afghanistan, Somalia and Kurds from Iran, Turkey and Iraq.	74,971
Craftspace	West Midlands	To support a part-time project manager post within a collaborative partnership and working with newly arrived women from the Middle East, Africa and other countries.	67,974
Ice and Fire	Whole of UK	To sustain and develop, in the form of salary and running costs, Actors for Refugees, which raise awareness of immigration issues in the host community.	45,000
North East Theatre Trust – Live Theatre	North East	Through drama enable young refugees from a number of countries to gain the confidence to access mainstream community activities and help challenge attitudes to asylum seekers.	50,000
Sound It Out Community Music Ltd	West Midlands	To develop and provide sustainable opportunities for various African, Middle Eastern and Eastern European refugee communities across Birmingham and the West Midlands.	75,000
<b>Total of 10 grants</b>			<b>597,945</b>

### Joint International Development Programme Grants

The Baring Foundation's grant contribution is shown in the table below. The John Ellerman Foundation provides a further 50% to the grant amounts shown here

Grantee	Description	Amount (£)
Akina Mama wa Afrika	To raise awareness and to build the capacity of women-led organisations to enhance services for displaced people in Guinea, Sierra Leone, Liberia, Democratic Republic of the Congo, Rwanda, Burundi, Kenya, Uganda, and Tanzania. To promote networking between them to encourage lesson-learning and information sharing.	152,330
Camfed International	To promote the ability of people displaced by long-term forced migration to access education for girls and participate in its provision and to provide support for school leavers in the transition to safe livelihoods in Zimbabwe.	155,410

Grantee	Description	Amount (£)
Minority Rights Group International	To develop appropriate remedies to address the forced migration of Endorois community in Kenya, including building local capacity to represent their interests and to enable them to engage with the Kenyan government over the African Charter on Human Rights.	166,675
Peace Direct	To work with a local partner to find ways to provide security for Internally Displaced Persons, assist them to return home, minimise the risk of further conflict through the demobilisation of militia and work with communities in the Democratic Republic of the Congo.	115,247
Send a Cow UK	Developing management information systems for Send a Cow.	39,374
<b>Total of 5 grants</b>		<b>629,036</b>

### Other Joint International Development Programme Grants

Grantee	Description	Amount (£)
One World Action	For the purchase of a vehicle for use in Tanzania.	5,000
Book Aid International	Towards the preparation of an application to the Baring Foundation.	667
Education Action	Towards the preparation of an application to the Baring Foundation.	667
Relief International UK	Towards the preparation of an application to the Baring Foundation.	667
<b>Total of 4 grants</b>		<b>7,001</b>

### Strengthening the Voluntary Sector Independence Programme Grants

The independence programme 2008 focused on the advice and advocacy sector in the following areas: Bristol, Coventry, Kent, Lincolnshire, Manchester and Sheffield.

Grantee	Geographical Area	Description	Amount (£)
Action for Advocacy	Bristol Coventry Manchester Sheffield Lincolnshire Kent	A multi-stranded three year project, working with advocacy schemes to demonstrate the value of advocacy, improve service user involvement, improve finance systems, develop negotiation skills and facilitate greater engagement in social policy issues.	197,626

Grantee	Geographical Area	Description	Amount (£)
Advice UK	Manchester and Coventry	A three year programme, working with New Economics Foundation and Directory of Social Change, to develop the RADICAL user-centred advice service approach, improve tendering skills in advice agencies and improve relationships with central government.	199,979
Avon and Bristol Law Centre	Bristol	A three year programme to support Advice Services for Avon to strengthen its influence and maintain the independence of its members through supported learning, business development and increasing capacity to engage with national debates.	174,831
Coventry Law Centre	Coventry	A two year programme of activities to strengthen individual partners of Advice Services Coventry (ASC) and strengthen the partnership as a whole. To include, systems review (working with Advice UK), IT investment, governance improvement, user involvement and development of ASC's ability to undertake social policy work and campaigning.	191,113
Grapevine Coventry	Coventry and Warwickshire	A range of activities carried out over one year to reassert the values underpinning citizen advocacy, to improve systems for collecting evidence of needs, to strengthen negotiation skills and to improve ways of measuring quality and impact.	35,591
Law Centres Federation	Bristol Manchester Sheffield	A four year programme to strengthen and reassert the Law Centre service delivery model through improved understanding of local needs and by building the collaborative relationships with government necessary for effective advice services.	200,000
Refugee Legal Centre	Kent	A one year programme to carry out research on evaluating the cost and impact of quality legal representation for immigrants and asylum seekers	199,680
<b>Total of 7 grants</b>			<b>1,198,820</b>



# Special Initiatives

## Climate Change

The Foundation made four grants, listed below, to support organisations to work with particular non-environmental voluntary organisations to help them explore how climate change will affect their primary charitable purpose. The results of this work will then be shared with other practitioners and policy makers with the aim of influencing wider practice and policy on tackling climate change.

Grantee	Description	Amount (£)
Climate Outreach Information Network	To work with refugee organisations.	57,115
Community Development Foundation	To work with community anchors.	74,325
National Council for Voluntary Organisations	To work with organisations supporting vulnerable people.	74,750
National Children's Bureau	To work with children's and youth organisations.	74,000
<b>Total of 4 grants</b>		<b>280,190</b>

## Interculturality

Grantee	Description	Amount (£)
Institute of Community Cohesion	To run the Awards for Bridging Cultures in 2009.	150,000
<b>Total of 1 grant</b>		<b>150,000</b>

## Contingency Funding

Grantee	Description	Amount (£)
City Parochial Foundation	To contribute to the costs of holding a joint seminar on funding campaigning and policy work.	1,000
Green Alliance	To contribute to the costs of printing and disseminating the publication <i>The New Politics of Climate Change: why we are failing and how we will succeed</i> .	5,000
Northern Refugee Centre	To fund work on consolidating Jantela, the umbrella group for refugee organisations in Yorkshire and Humberside.	10,000
<b>Total of 3 grants</b>		<b>16,000</b>

**Baring Family Trustees' Fund**

Grantee	Description	Amount (£)
Baring Archive	Towards an oral history of Baring Brothers Bank	5,000
Baring Primary School	Towards events to mark the occasion of the 125th anniversary of the School.	1,000
Scotswood Natural Community Garden	To fund part of the salary of the Garden Manager in the short term.	5,000
<b>Total of 3 grants</b>		<b>11,000</b>

# Financial Summary

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

## Statement of financial activities (incorporating an income and expenditure account) For the year ended 31 December 2008

	Restricted £	Unrestricted £	2008 Total £	2007 Total £
<b>Incoming resources</b>				
<i>Incoming resources from generated funds</i>				
Voluntary income				
Donations	20,000	5,008	25,008	34,400
Donated services	-	135,723	135,723	176,728
Investment income	-	1,505,629	1,505,629	1,486,356
<b>Total incoming resources</b>	<b>20,000</b>	<b>1,646,360</b>	<b>1,666,360</b>	<b>1,697,484</b>
<b>Resources expended</b>				
<i>Costs of generating funds</i>				
Investment management	-	164,007	164,007	221,745
	-	164,007	164,007	221,745
<i>Charitable activities</i>				
Strengthening the Voluntary Sector	-	1,330,799	1,330,799	119,945
Arts programme	-	656,821	656,821	586,801
International programme	-	698,014	698,014	575,066
Special Initiatives	20,000	487,504	507,504	384,191
Other work	-	196,187	196,187	264,012
Villiers Park	-	-	-	(627,591)
<i>Governance</i>	-	29,409	29,409	29,849
<b>Total resources expended</b>	<b>20,000</b>	<b>3,562,741</b>	<b>3,582,741</b>	<b>1,554,018</b>
<b>Net (Outgoing)/incoming resources before revaluations and investment asset disposals</b>	<b>-</b>	<b>(1,916,381)</b>	<b>(1,916,381)</b>	<b>143,466</b>
<b>Net (loss)/gain on investments</b>	<b>-</b>	<b>(14,330,962)</b>	<b>(14,330,962)</b>	<b>3,255,469</b>
<b>Net movement in funds</b>	<b>-</b>	<b>(16,247,343)</b>	<b>(16,247,343)</b>	<b>3,398,935</b>
<b>Funds at the start of the year</b>	<b>-</b>	<b>67,547,483</b>	<b>67,547,483</b>	<b>64,148,548</b>
<b>Funds at the end of the year</b>	<b>-</b>	<b>51,300,140</b>	<b>51,300,140</b>	<b>67,547,483</b>

### Statement from the Trustees of the Baring Foundation

These summarised financial statements contain information from both the Statement of Financial Activities and the Balance Sheet for the year ended 31 December 2008, but are not the full statutory Report and Accounts. They may not contain sufficient information to allow a full understanding of the financial affairs of the Foundation. The full financial statements were approved by the Council on 8 June 2009 and subsequently submitted to the Charity Commission and Companies House. They received an unqualified audit report and copies may be obtained from the Foundation's registered office at 60 London Wall, London, EC2M 5TQ.

Signed on behalf of the Trustees

Amanda Jordan, *Chair*  
Geoffrey Barnett, *Treasurer*

8 June 2009



The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

**Balance Sheet as at 31 December 2008**

	£	2008 £	2007 £
<b>Fixed assets</b>			
Investments		53,290,651	68,680,323
<b>Current assets</b>			
Debtors	36,943		42,266
Cash at bank and in hand	1,113,023		1,413,506
	1,149,966		1,455,772
<b>Liabilities</b>			
Creditors: amounts due within 1 year	2,167,800		1,934,054
Net current liabilities		(1,017,834)	(478,282)
Total assets less current liabilities		52,272,817	68,202,041
Creditors: amounts due after more than 1 year		972,677	654,558
Net assets		51,300,140	67,547,483
<b>Funds</b>			
Unrestricted funds			
Revaluation fund		(1,871,360)	13,796,201
General funds		53,171,500	53,751,282
Total funds		51,300,140	67,547,483

**Independent Auditors' Statement to the Trustees of the Baring Foundation**

We have examined the summarised financial statements of the Baring Foundation which comprise the summary statement of financial activities and summary balance sheet.

**Respective responsibilities of Trustees and auditors**

The Trustees are responsible for preparing the summarised financial statements in accordance with United Kingdom law and the recommendations of the charities SORP.

Our responsibility is to report to you our opinion on the consistency of the summarised financial statement with the full financial statements and Report of the Trustees. We also read the other information contained in the annual report and consider the implications for our report if we become aware of any apparent misstatements or material inconsistencies with the summarised financial statements.

**Basis of opinion**

We conducted our work in accordance with Bulletin 1999/6 "the auditors' statement on the summary financial statement" issued by the Auditing Practices Board for use in the United Kingdom. Our report on the Foundation's full annual financial statements describes the basis of our audit opinion on the financial statements.

**Opinion**

In our opinion the summarised financial statements are consistent with the full financial statements and the Report of the Trustees of the Baring Foundation for the year ended 31 December 2008.

**Sayer Vincent**

Chartered accountants and registered auditors

8 June 2009



#### **LUMINOUS FROG ENVIRONMENTAL POLICY**

**Paper used is chlorine free and is taken from environmentally sustainable forests. Vegetable oil based inks are used by our printers instead of solvents which can damage the environment. All inks are disposed of using environmentally sound techniques.**