The Baring Foundation

Report on Activities

The Baring Foundation – People

Council of Management

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We supported a national month-long festival of creative ageing for the fourth year with Creative Scotland. Photo courtesy of Luminate.

Purpose and Values

Our Purpose

is to improve the quality of life of people experiencing disadvantage and discrimination. We aim to achieve this through making grants to voluntary and other civil society organisations and by adding value including through promoting knowledge and influencing others.

Our Values

We:

- believe in the fundamental value of an independent and effective civil society both nationally and internationally. Civil society identifies new needs and ways to meet these. It engages citizens, giving them voice in a unique way, holding the powerful to account;
- use our funds to strengthen voluntary sector organisations, responding flexibly, creatively and pragmatically to their needs and with a determination to achieve value for money;
- help to create enduring change both in the lives of those served by the work we are funding and by building the capacity of organisations to become more sustainable and resilient;
- put a high value on learning from organisations and their beneficiaries. We seek to add value to our grants by encouraging the communication of knowledge through a variety of means, including influencing others;
- build positive, purposeful relationships with grant recipients, as well as with other grant makers;
- treat grant-seekers and recipients with courtesy and respect; being as accessible as possible within clear programme guidelines and maintaining consistently high standards of administrative efficiency.

Responsible Investment Statement

Our investments are made with the goal of providing long term stability of returns and liquidity to enable the continuity of the Foundation's grant giving in real terms.

We engage with our asset managers to promote responsible investment and to encourage business to be ethical, socially responsible and to protect the environment. We aim to avoid investments which would support activities inconsistent with the purpose of the Foundation. We will seek to make some specific investments where there is a clear social impact aligned with the goals of the Foundation.



Dementia-friendly performances have been pioneered by West Yorkshire Playhouse and our grants will tour these and produce a 'how to' guide. Photo courtesy West Yorkshire Playhouse.

Chair's Statement

The Baring Foundation made great progress in 2015 – putting fresh thought and impetus into our funding programmes, continuing to work in partnership with other organisations to achieve social change and reviewing our infrastructure in line with modern standards of governance.



Much energy and intellectual engagement was invested during the year in designing our new Strengthening the Voluntary Sector and International Development programmes and we are enormously grateful to the sectoral experts who helped us shape compelling new visions for both. At the same time our Arts and Older People programme continued to grow from strength to strength with its many partners and friends.

As a result the Foundation has declared its commitment to programmes that promote social justice and champion the needs of those most disadvantaged and discriminated against in society. And we will continue to be bold and brave in our support and determined to extract learning and share the knowledge from the work of those we fund.

Behind the scenes we have also carried out an important reviews of our Articles of Association and carried out reviews of our investment strategy, risk framework and audit arrangements. These have been driven by governance best practice and our desire to ensure the foundation remains agile and fit for purpose, as well as renewing our commitment to ethical approaches. In addition, our very able Trustee Board continue to review the effectiveness of our governance arrangements. We are hugely lucky to be able to call upon such an able and intellectually gifted group, who ask searching questions about how we can best contribute to the third sector at a time when civic space is under threat at home and abroad.

This year we were very sad to say goodbye to Mark Baring at the end of his term of office, who had served as a trustee with such passion, commitment and integrity – both as a member of the Council and as Chair of our Investment Committee. We remain truly indebted to Baring Brothers Bank and the Baring family for their vision in establishing the Foundation and ensuring its future resourcing of civic action. We were also very sad to say goodbye to Kate Organ who has advised the Arts programme with such expertise, hard work and insight for so many years. We are hugely thankful to her for her help in shaping the programme and informing our many investments in cutting edge arts practice in the UK.

We remain deeply grateful to ING Bank who provide us with the overhead support that enables us maximise the amount of money we can devote to our charitable purposes. We are only too aware how valuable their support is – not just to our core resources – but also in terms of the commitment they show to our charitable endeavours.

Finally I would like to express our sincere thanks to our small team, led so ably by David Cutler, our Director. Their commitment and drive enables us to respond to new opportunities and work so effectively in partnership with others at a time when the needs of the most vulnerable in society couldn't be greater.

Janet Morrison



Our grant to the Foundation for Community Dance (People Dancing) is to build the capacity of the Dance for Parkinson's UK Network, including through a summer school for trainers in Leicester pictured here. Photo courtesy of Rachel Cherry.

Director's Report

2015 was an exciting year for the Foundation. The architecture put in place two decades ago has served us well and we continue to run two programmes in the UK and a third programme overseas. Within the continuity of that framework there was a lot of change. The Strengthening the Voluntary Sector Programme which supports social



justice in the UK began to fund a new theme of better use of the law and human rights as tools for social change. The International Development Programme gave its first grants for Lesbian, Gay, Bisexual, Transgender and Intersex (LGBTI) rights in Africa. The focus of the Arts Programme was confirmed as work with Older People over the next five years and saw accelerating progress across the UK.

Readers will notice that this year we made both a smaller number of grants and with a lower total value. This was for three reasons. Firstly, grants for our Strengthening the Voluntary Sector social justice Programme will be made early in 2016 in order to allow sufficient time for applications. Secondly, we decided that in exploring the new theme for International Development we needed to take a gradual approach, learning about a new field in an open and thoughtful way. Thirdly, some money has been held back from the Arts Programme for next year for what we hope will be very significant new partnership opportunities that couldn't be finalised in 2015.

We are aware that change usually takes a long time and frequently after setbacks. Increasingly, our programmes acknowledge this in their approach. Also the Foundation is often a small part of a much bigger picture, acting as a catalyst or encouraging others. So when a significant change happens, such as the endorsement of the role of the arts for the well being and independence of older people in new guidance by the National Institute for Health and Care Excellence, we are delighted but understand we have been but one voice in the choir.

A unifying theme for our three, otherwise quite different, programmes, is the power of the voluntary sector to achieve social change. Along with that has come our growing concern regarding the global phenomenon of shrinking civil space which has affected around 100 countries in the last two years alone. This has implications, therefore, both to our UK programme and to our international development approach, where LGBTI civil society organisations are most at risk from such governmental restrictions. We have taken several steps to address this including by giving a grant to The Fund for Global Human Rights, for work in Uganda. We have also announced that we are exploring, with other independent funders, the possibility of a wide-ranging and forward looking Inquiry into civil society in England, and how it can best serve society and lead itself.

The Foundation follows a total return approach to our investment portfolio and, given market conditions, our investment objective has reduced from 4.5% after fees and inflation in 2012 to its current figure of 3.5%. Our investment strategy was carefully reviewed by trustees this year and this objective is likely to remain in place for some time. The review also confirmed the significance the Foundation attaches to its Responsible Investment Statement. We have looked for opportunities to further integrate this into our funding, for instance by making a grant to ShareAction to look at the implications of the Sustainable Development Goals to investment policies.

The Foundation has a small team of five staff which means that everyone should take credit for anything we may have been able to achieve this year. Outside the team, credit in particular is due to Kate Organ, our Arts Adviser, who after eight years stupendous service chose to step down from that role. Kate has made an immense contribution to the Foundation and especially to the flowering of the Arts and Older People programme. She will be sorely missed.

I am highly conscious that a dedicated, highly expert and supportive board means that some people may conclude that we are much larger than is really the case. My personal thanks in particular go to the Chair, Janet Morrison, who always steers us positively and with humour, but with a sharp eye for practical results.

For scheduling reasons, we will not be able to publish our audited annual accounts until September and, therefore, we decided to break with tradition and publish this report much earlier in the year, when its currency is fresher and with a full list of the grants we made and our rationale for making them.

David Cutler

Baring Foundation Grants – Key Facts

Programmes	Number	Value (£)
Arts Programme grants	15	312,688
International Development Programme grants	6	460,500
Strengthening the Voluntary Sector Programme grants	5	303,829
Baring Family Trustees' Discretionary Fund grants	2	13,000
Other grants	4	48,989
Total	32	£1,139,006



A number of grants under our programme tackling long term forced displacement in Africa continued in operation in 2015 including small scale agriculture in and around Garamba National Park in the DRC. Photo courtesy Fauna and Flora International.

Live Music Now is being funded to lead a collaboration with the aspiration of a Choir in Every Care Home. Photo courtesy of Live Music Now Ltd.





Arts Programme

Since 2010 the Foundation has focussed the theme of our Arts Programme on work with older people. We are particularly interested in participative work with older people over 60 facing discrimination and disadvantage. The first five years of our funding is described in a publication called *Getting On*. Trustees reviewed the programme in 2015 and decided to retain the same funding focus until the end of 2019 with the aim of influencing the permanent players in the worlds of the arts and older people services to fully value this work.

In line with this ambition, a centrepiece of our programme is a unique set of partnerships with all four Arts Councils in the UK. The most recent of these with Arts Council Wales is a joint grant to Age Cymru. The project, called cARTrefu, was fully operational this year, training artists to work in many care homes across the country, both producing art with residents as well as training care workers in the use of the arts. This work in care homes was complemented in 2015 by grants to the National Theatre Wales and Re-Live for works of drama in the community touching on the theme of dementia.

cARTrefu adopts the methodology used by the Courtyard Theatre in Hereford, one of four projects jointly funded by a joint grant with the Arts Council England to work in care homes. After changing care home partner the Courtyard's own project called Making Me, began this year. A consortium led by City Arts has been using a range of approaches across Nottingham. We Do, in Yorkshire has been experimenting with a subscription model for care homes to purchase involvement in high quality arts. At the end of the year we were sad to learn that Arts for Health Cornwall and Isles of Scilly was closing after leading an excellent project. However, the work will transfer to a new lead provider next year.

In Northern Ireland we are part of a consortium of funders which has created a £1 million programme over three years, which includes a grants programme, training for artists and care workers and an annual festival. It has had a specific focus on the use of the arts to tackle loneliness among other things and we have co-funded a publication on this due out next year.

Lastly, in terms of Arts Council partnerships, since 2012 we have jointly commissioned a festival in Scotland. Called Luminate, and now an independent charity with the status of a Regularly Funded Organisation with Creative Scotland, the festival took place for a fourth time across the nation and throughout the month of October with over 400 events. In addition, Luminate is working with the Care Commission in Scotland to produce a resource on arts in care homes to be launched in 2016.

The Late Style grants awarded last year to 11 arts organisations across the UK to commission significant artists aged over 70, began to blossom in 2015. Examples included the launch of a volume of poetry *Second Wind* by the Scottish Poetry Library in Edinburgh, a new composition from John McLeod, facilitated by Live Music Now, performed in Cardiff in the Wales Millennium Centre and a splendid automaton, created by Robert Race, in care homes organised by New Brewery Arts in Cirencester.

The Foundation has made a small number of grants to develop arts and older people's work in particular cultural settings or arts forms, such as our three year support for the Age Friendly Museums Network and to Sadler's Wells Elixir Festival of Older People's Dance. Two more such grants were added in 2015. After a competition, Live Music Now was commissioned to run the first phase of a self-explanatory project called A Choir in Every Care Home, and equally self-evidently we supported the expansion of the Dance for Parkinson's Network UK.

A series of reports throughout the year have shone a spotlight on particular issues in the field. We commissioned Joe Randall to update his mapping of digital arts in the shape of a report called *Technically Older – an update on digital arts and creative ageing*. This posed a number of challenges to the field which the Foundation has set money aside to address in a major initiative in 2016. A new publication by Ed Watts at the Whitworth in Manchester, on engaging older men in the arts, was widely acclaimed. Lastly, the Foundation's Director, David Cutler, chaired a working party on the arts for the Prime Minister's

Dementia Friendly Communities Challenge run by the Alzheimer's Society. The expertise in this group has resulted in an important publication on making cultural venues dementia-friendly, a theme which we intend to continue to pursue in future years.

Although a UK programme, our approach has attracted considerable international interest and we are keen to learn from abroad. The most important strand of this is our long-term partnership with the Winston Churchill Memorial Trust, which once again funded a cohort of Fellows to travel the world in search of good practice. A very important new partnership has been with the British Council, cofunded with the Calouste Gulbenkian Foundation, which organised a tour for artists and others from the UK to visit work in Japan. The results are recorded in a report we published called *Living national treasure – arts and older people in Japan*. An exciting new festival called Celebrate Creative Ageing Sydney is being supported by the Foundation to allow two UK speakers to attend each year. Potentially our most ambitious partnership is with the Dutch Culture Ministry and colleagues in German and Belgium, seeking to explore the appetite for an EU Convention on Arts and Older People. This resulted in excellent conferences in The Hague (in the presence of Princess Beatrix of the Netherlands, the former Queen of the Netherlands, herself an artist) and in Dusseldorf.

We joined the participatory grant meeting organised by The Other Foundation to award our funding for Lesbian and Trans rights work across Southern Africa. Photo courtesy of the Other Foundation.



International Development Programme

In 2015 the Foundation launched a new programme that aims to empower locally based civil society organisations to address discrimination and disadvantage based on gender, sexual orientation or gender identity in sub-Saharan Africa. We have a specific interest in supporting organisations that address discrimination against lesbian and transgender individuals and communities.

We believe that discrimination against lesbian, gay, bisexual, transgender and intersex (LGBTI) communities violates basic human rights, but also hampers broader development. Tackling discrimination can lead to fairer, more just communities and allows for development that benefits all in society equally.

In 2015, the Foundations has supported the work of locally based, participatory grantmakers UHAI EASHRI and the Other Foundation, who focus on LGBTI communities in Eastern and Southern Africa respectively. Grants for core costs were awarded in June 2015 and further funding was awarded to support onward grants to frontline organisations supporting lesbian and transgender individuals and communities. Over 100 applications have already been received by our partners from local activists and organisations for grants using this funding.

This approach develops the programme slowly and cautiously in its first year. It is designed to build up the depth of trust, understanding of context and varied cultural sensitivities that a programme of this kind requires.

However, as the programme progresses and our understanding of context increases, we will continue to innovate in the ways we support frontline organisations and champion the role of local civil society as a fundamental agent of change.

In the UK, we supported the Overseas Development Institute to host a roundtable on LGBTI issues. We also supported the Kaleidoscope Trust to ensure LGBTI participation at the Commonwealth Heads of Government meeting in Malta.

The Foundation is also active in broader international development discussions and co-convenes the International Development interest group of the Association of Charitable Foundations. We hosted the annual exchange between independent international development funders and DFID, where we co-convened a session on funding for LGBTI communities.

The Foundation's previous International Development Programme focused on long-term forced migration from 2001 to 2013. It gave grants of up to £250k, over 2-5 years, to UK International NGOs to capacity build African NGOs and Community Based Organisations. From 2003 to 2011 the programme was run jointly with the John Ellerman Foundation. 54 grants were awarded totalling £7,648,140. A number of these grants remain active and the Foundation continues to support and disseminate lessons from the work of our grantees.

STVS Programme

2015 was the first year of an exciting new focus for the Strengthening the Voluntary Sector (STVS) Programme. Following a strategy review in 2014, the Foundation has chosen to refocus its work on the effective use of the law and human rights based approaches by the voluntary sector in the United Kingdom.

We see the law and human rights based approaches as important tools for the voluntary sector. They can provide a means to tackle directly the discrimination and disadvantage faced by vulnerable people and to create and protect the space in which voluntary organisations operate, helping to safeguard the freedom of purpose, action and voice of the sector as a whole. There are great examples of voluntary sector organisations who have used these tools effectively in these ways.

However, use of the law and human rights based approaches is far from consistent across the voluntary sector and many organisations see no link between these tools and their purpose, strategy and activities, or are wary of using them. This programme aims to boost engagement and to support organisations within the broader voluntary sector to make effective use of the law and human rights based approaches to tackle discrimination and disadvantage.

As 2015 was the first year of our funding in this area, we aimed to make our funding broad and accessible. This was structured through two open pilot funds:

- training, education and capacity building seed funding grants of up to £30,000 over six months to two years that support organisations to understand how their objectives can be achieved through use of the law or human rights based approaches; and
- applied projects grants of up to £150,000 over approximately three years to create new capacity for
 work that addresses specific discrimination or disadvantage and safeguards the freedom of purpose,
 action and voice of the sector, linking the skills of 'non-legal' and 'legal' organisations to enhance and
 complement campaigns for social change.

The funds closed in late 2015 and grants will be awarded in the first quarter of 2016.

Interest was significant for this funding – we received almost 250 applications, nearly twenty times as many as we are able to fund. The Foundation is pleased that the new focus for the STVS Programme has prompted such interest and will use data from the pilot funds to inform programming in 2016.

Until 2015, the STVS Programme supported *Future Advice*: the building of a future system of effective social welfare legal advice services. This was a collaboration with Comic Relief, the Legal Education Foundation and Unbound Philanthropy. *Future Advice* continued in 2015 and is now administered by the Legal Education Foundation.

The Foundation continues to support the aims and development of *Future Advice* and holds a number of ongoing grants. We made a final grant at the end of 2015 to the Centre for Charity Effectiveness at Cass Business School to develop and run a two year leadership development programme for the social welfare legal advice sector. The programme will begin in 2016 and will be the first cross-sectoral programme of its kind.

The Foundation also retains a broader interest in the importance of the independence of the voluntary sector. We initiated and supported the Panel on the Independence of the Voluntary Sector to provide four annual reports on this issue. It published its final report in January 2015 that called for strong, inclusive leadership by the voluntary sector to protect its independent mission and for the Government to establish a 'new settlement' with the sector. We have funded Civil Exchange to provide similar analysis of the sector's independence in 2016 and 2017.

Launch of a guide for the voluntary sector co-funded by the Foundation on changes to judicial review under Part 4 of the Criminal Justice and Courts Act 2014. Photo courtesy of Justice.



Learning, Convening and Transparency

The Foundations sets great store by the promotion of learning. This has a number of purposes: to help us better understand the fields we are working in and so to make more effective grants (for instance through mapping studies); to assist grantees in analysing how to achieve their aims better (for instance through funding evaluation); and to deepen thinking on a topic (for instance through commissioning research or policy papers). We do this through writing reports ourselves, by commissioning external experts and by funding reports as part of the grants we make.

This year, we published reports on digital arts and creative ageing (*Technically Older – an update on digital arts and creative ageing*, by Joe Randall) and arts and older men (*A Handbook for Cultural Engagement with Older Men*, by Ed Watts of the Whitworth Gallery) as well as a report on arts and older people in Japan (*Living national treasure – arts and older people in Japan*). In relation to our Strengthening the Voluntary Sector Programme, a fourth and final annual *Report by the Panel on the Independence of the Voluntary Sector* was published, as well as four think pieces on a range of topics considered as options for that programme.

It is often said that one of the greatest assets of foundations is our power to convene. We span different worlds, such as the voluntary and commercial sectors, and have a degree of objectivity and distance that, say, service providers may find harder to attain. But we need to remember that this is just one perspective and equally others may have greater expertise or a better understanding of front-line realities. We try to actively exploit this asset in a number of ways.



The Commonwealth Heads of Government Meeting in Malta heard from LGBTI activists supported by the Kaleidoscope Trust with our funding, including in a meeting chaired by DFID Minister Baroness Varma. Photo courtesy of Kaleidoscope Trust.

We held a wide range of meetings in our offices, with the generous permission of our hosts, ING. These included:

- A major joint seminar for private funders of international development with DFID;
- The launch of the final report of the Panel on the Independence of the Voluntary Sector;
- A learning event for arts organisations and care homes involved in our joint fund with the Arts Council England;

- A roundtable event on the implications for the voluntary sector of changes in Judicial Review legislation;
- A meeting for funders of LGBTI work in Africa;
- An event for funders by Civicus on closing civic space.

We have also funded or co-funded numerous events across the country and abroad on the topics that concern us. These have included conferences in London, Dusseldorf and The Hague on arts and older people.

The Foundation plays a role in a series of networks for grantmakers including: the Association of Charitable Foundations; the Foundations Forum; the Intelligent Funding Network; Ariadne, European funders for social change and human rights; the Charities Responsible Investment Network; and is also a member of Civicus, the global platform for civil society.

We wish to be as transparent as possible in what we do. Our website has recently been thoroughly revamped and now includes a blog to give additional flavour and comment to some of our work. Not only are all our grants published but we also take part in the 360Giving Initiative which allows the better aggregation of data. The remuneration paid to senior staff is fully disclosed in our annual accounts. We will publish occasional accounts of our work such as *Getting On* which described our arts funding programme from 2010-2015.

The Foundation has a back catalogue of over 70 publications. We continue to fund dedicated websites on arts and older people (www.ageofcreativity.co.uk) and as a legacy grant on arts and refugees (www.platforma.org.uk).

List of Grants Awarded in 2015

Arts Programme Grants

Grantee	Description	Amount (£)
ALLFM	For a project to engage isolated older people in community radio and the arts.	20,000
Arts Council of Northern Ireland	To commission a report on arts work tackling isolation and loneliness.	4,750
Arts Council of Wales	To support a national conference in Wales on arts and older people in 2016.	10,000
Australian Centre for Arts and Health	Towards the costs of UK speakers at the Celebrate Creative Ageing Sydney.	16,000
Foundation for Community Dance	To build the capacity of the Dance for Parkinson's Network UK.	84,000
Jackson's Lane Community Association	To explore how arts centres/theatres can have more sustainable income for work with older people.	2,520
Live Music Now Ltd.	For a project to increase the participation of choirs in care homes for older people.	60,000
Luminate	Towards the costs of the Luminate Festival.	75,000
Manchester Camerata Ltd	To cover the costs of the creation and recording of a new piece of music based on the theme of connections and to premiere the piece at the Long Live Arts conference in Brussels in April 2016.	3,818
National Care Forum	Towards running annual awards for two years for arts in residential care.	1,000
National Theatre Wales	Towards the "I'll Sing this Song" project for people living with dementia and their carers.	20,000
New Economics Foundation	To cover costs of an arts performance at a Dementia conference.	600
Re-Live	Towards the costs for a Welsh national theatre tour of a bilingual dementia awareness play.	5,000
University of Manchester	To cover the continuation of the partnership report on Cultural Engagement with older men.	5,000
West Yorkshire Playhouse	To mentor a series of theatres in dementia friendly performances and subsequently to produce a guide.	5,000
Total of 15 grants		£312,688

International Development Programme Grants

Grantee	Description	Amount (£)
Kaleidoscope Trust	To support LGBTI participation in CHOGM 2015.	10,000
Other Foundation	Towards the core costs of the organisation.	150,000
Other Foundation	To fund onward grants under the organisation's grant	75,000
	processes.	
Overseas Development	Towards the costs of a roundtable on LGBTI issues.	500
Institute		
UHAI EASHRI	Towards the core costs of the organisation.	150,000
UHAI EASHRI	To fund onward grants under the organisation's grant	75,000
	processes.	
Total of 6 grants		£460,500

Strengthening the Voluntary Sector – Future Advice Providers Fund Grants

Grantee	Description	Amount (£)
Centre for Charity Effectiveness (CCE)	To administer, run and evaluate a Leadership Development Programme for the advice sector.	238,000
	This grant is funded jointly by the J. Paul Getty Jnr Charitable Trust and the Baring Foundation.	
Legal Education Foundation	To part-fund an onward grant that will provide consultancy and start up support for South West Law Centres CIC.	25,829
	This grant is jointly funded with Comic Relief and Unbound Philanthropy.	
Total of 2 grants		£263,829

Strengthening the Voluntary Sector – Other Grants

Grantee	Description	Amount (£)
Civil Exchange	To research and publish two annual reports on the independence of the voluntary sector in England.	30,000
Equality and Diversity Forum	Towards the Beyond 2015 project.	5,000
Justice	To produce a guide to changes to judicial review under Part 4 of the Criminal Justice and Courts Act 2014.	5,000
Total of 3 grants		£40,000

Baring Family Trustees' Discretionary Fund Grants

Grantee	Description	Amount (£)
Happy Africa Foundation	To support the establishment of The Dundas Foundation.	3,000
Winchester Cathedral Trust	Towards the Winchester Cathedral Appeal.	10,000
Total of 2 grants		£13,000

Other Grants

Grantee	Description	Amount (£)
Cass Business School	Towards sundry costs for Cass Business School Lecture and Discussion: "The Good Old English Way": England's History of Campaigning for Charitable Causes.	1,489
Health Poverty Action	Towards a report on sexual and gender based violence work in refugee camps in Hargeisa, Somaliland.	10,000
Fund for Global Human Rights	For work to protect civic space in Uganda.	30,000
ShareAction	For research regarding the Sustainable Development Goals.	7,500
Total of 4 grants		£48,989

Photo courtesy of City Arts.





